**INSTRUCTIONS:**

**1)** Please edit the translation in the TARGET column directly.

**2)** To comment on a segment, simply create a new MS-Word comment.

**3)** It is best to edit this file in Normal or Draft view rather than page layout.

**4)** DO NOT alter the ID or SOURCE column text.

**5**) Blank rows should be ignored but not deleted.

**6**) **The following formatting must be maintained throughout:**

* **Paragraph (the number of paragraphs per row must be maintained)**
* **bold**
* **italic**
* **underline**
* **links**
* **lists (bullets and number of items in a list must be maintained)**

**7**) Ctrl+click on an ID in the left hand collumn to view the relevent screen in the online course. Toc ID’s will open the table of contents, ID’s containing \_string\_ have no relevent screen and are not linked.

Understanding Sanctions and Trade Compliance

|  |  |  |
| --- | --- | --- |
| ID | Source | Target |
| [Screen 0](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=1_C_1) [1\_C\_1](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=1_C_1)  | Understanding Sanctions and Trade ComplianceClick the forward arrow. | Understanding Sanctions and Trade ComplianceClick the forward arrow. |
| [Screen 1](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=2_C_2) [2\_C\_2](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=2_C_2)  | From time to time, the U.S. and other countries and jurisdictions (such as the European Union) restrict or prohibit trade dealings with certain countries, entities, and individuals.These restrictions may include bans on exports, imports, travel, investments, and other financial dealings with sanctioned parties. | From time to time, the U.S. and other countries and jurisdictions (such as the European Union) restrict or prohibit trade dealings with certain countries, entities, and individuals.These restrictions may include bans on exports, imports, travel, investments, and other financial dealings with sanctioned parties. |
| [Screen 2](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=3_C_3) [3\_C\_3](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=3_C_3)  | As employees of a U.S.-headquartered company with global business operations, we are required by law to comply with all U.S. trade sanctions programs and controls in every country in which we do business. | As employees of a U.S.-headquartered company with global business operations, we are required by law to comply with all U.S. trade sanctions programs and controls in every country in which we do business. |
| [Screen 3](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=4_C_4) [4\_C\_4](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=4_C_4)  | Upon completion of this course, you will be able to:* Describe the environment in which we operate,
* Understand trade sanctions and why U.S. trade sanctions apply to everyone at Abbott,
* Understand Abbott’s expectations for compliance with U.S. trade sanctions and how to recognize warning signs of potential violations,
* Understand the importance of screening prospective third-party partners, and
* Know where to go for help and support.
 | Upon completion of this course, you will be able to:* Describe the environment in which we operate,
* Understand trade sanctions and why U.S. trade sanctions apply to everyone at Abbott,
* Understand Abbott’s expectations for compliance with U.S. trade sanctions and how to recognize warning signs of potential violations,
* Understand the importance of screening prospective third-party partners, and

Know where to go for help and support. |
| [Screen 4](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=5_C_5) [5\_C\_5](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=5_C_5)  | [1] Welcome1 minute[2] Introduction to Trade Sanctions5 minutes[3] Laws and Regulations4 minutes[4] The Impact on Our Business4 minutes[5] Our Responsibilities6 minutes[6] Your Commitment1 minute[7] Knowledge Check5 minutesLearning ProgressThis Topic is now available. | [1] Welcome1 minute[2] Introduction to Trade Sanctions5 minutes[3] Laws and Regulations4 minutes[4] The Impact on Our Business4 minutes[5] Our Responsibilities6 minutes[6] Your Commitment1 minute[7] Knowledge Check5 minutesLearning ProgressThis Topic is now available. |
| [Screen 5](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=6_C_6) [6\_C\_6](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=6_C_6)  | Trade sanctions, also known as economic sanctions, are trade restrictions imposed by the government of one or more countries on another country, organization, group, or individual.For example, one country may restrict certain exports, implement controls over particular goods, freeze or block assets, or prohibit trade dealings with another country, entity, or individual altogether. | Trade sanctions, also known as economic sanctions, are trade restrictions imposed by the government of one or more countries on another country, organization, group, or individual.For example, one country may restrict certain exports, implement controls over particular goods, freeze or block assets, or prohibit trade dealings with another country, entity, or individual altogether. |
| [Screen 6](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=7_C_7) [7\_C\_7](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=7_C_7)  | Governments impose trade sanctions with the purpose of changing the behavior and policy of targeted countries or individuals that endanger their interests or violate international norms of behavior.Because trade sanctions make it more difficult or impossible for the sanctioned country or individual to trade with the country imposing sanctions, they usually cause negative economic consequences for the targeted countries or individuals. | Governments impose trade sanctions with the purpose of changing the behavior and policy of targeted countries or individuals that endanger their interests or violate international norms of behavior.Because trade sanctions make it more difficult or impossible for the sanctioned country or individual to trade with the country imposing sanctions, they usually cause negative economic consequences for the targeted countries or individuals. |
| [Screen 7](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=8_C_8) [8\_C\_8](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=8_C_8)  | Trade sanctions are typically imposed to advance foreign policy or national security goals.For example, the U.S. and other countries impose sanctions on countries or individuals that sponsor terrorism, commit human rights violations on their people, or are known drug traffickers. | Trade sanctions are typically imposed to advance foreign policy or national security goals.For example, the U.S. and other countries impose sanctions on countries or individuals that sponsor terrorism, commit human rights violations on their people, or are known drug traffickers. |
| [Screen 8](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=9_C_9) [9\_C\_9](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=9_C_9)  | Violating sanctions, or engaging in any activity designed to circumvent them, is a serious offense which can result in severe civil and criminal penalties for companies and individuals, including fines and imprisonment.As a U.S.-headquartered company, Abbott and its employees are required by law to comply with all U.S. trade sanctions programs and trade controls in every country in which Abbott operates. | Violating sanctions, or engaging in any activity designed to circumvent them, is a serious offense which can result in severe civil and criminal penalties for companies and individuals, including fines and imprisonment.As a U.S.-headquartered company, Abbott and its employees are required by law to comply with all U.S. trade sanctions programs and trade controls in every country in which Abbott operates. |
| [Screen 9](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=10_C_10) [10\_C\_10](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=10_C_10)  | Abbott is committed to conducting business according to the highest legal and ethical standards.Because of this, all Abbott employees must comply with U.S. trade sanctions programs. This requirement is reflected in the Code of Business Conduct and Global Trade Compliance policies and procedures. | Abbott is committed to conducting business according to the highest legal and ethical standards.Because of this, all Abbott employees must comply with U.S. trade sanctions programs. This requirement is reflected in the Code of Business Conduct and Global Trade Compliance policies and procedures. |
| [Screen 10](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=11_C_11) [11\_C\_11](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=11_C_11)  | Here is what our Code of Business Conduct says about adherence to trade regulations:We adhere to all applicable trade regulations, such as export and import controls issued by governments for foreign policy and national security reasons. Trade regulations include sanctions, restrictions on exporting of certain products, and prohibitions on conducting business with certain individuals, groups or entities. | Here is what our Code of Business Conduct says about adherence to trade regulations:We adhere to all applicable trade regulations, such as export and import controls issued by governments for foreign policy and national security reasons. Trade regulations include sanctions, restrictions on exporting of certain products, and prohibitions on conducting business with certain individuals, groups or entities. |
| [Screen 11](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=12_C_12) [12\_C\_12](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=12_C_12)  | Our Global Trade Compliance policies and procedures provide detailed guidance on how to comply with trade sanctions.For a full list of trade policies and procedures, please refer to the Resources section of this course. | Our Global Trade Compliance policies and procedures provide detailed guidance on how to comply with trade sanctions.For a full list of trade policies and procedures, please refer to the Resources section of this course. |
| [Screen 12](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=13_C_13) [13\_C\_13](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=13_C_13)  | Those required to comply with U.S. sanctions programs are referred to as “U.S. persons” and include:* Companies incorporated in or based in the U.S. (including Puerto Rico),
* Employees of such U.S. companies (including those based in Puerto Rico), as well as employees of their non-U.S. branches,
* U.S. citizens or U.S. permanent residents, regardless of where they are located,
* Anyone who is in the U.S., including someone traveling on vacation, and
* Any foreign subsidiary of a U.S.-headquartered company or a U.S.-owned or -controlled entity.
 | Those required to comply with U.S. sanctions programs are referred to as “U.S. persons” and include:* Companies incorporated in or based in the U.S. (including Puerto Rico),
* Employees of such U.S. companies (including those based in Puerto Rico), as well as employees of their non-U.S. branches,
* U.S. citizens or U.S. permanent residents, regardless of where they are located,
* Anyone who is in the U.S., including someone traveling on vacation, and

Any foreign subsidiary of a U.S.-headquartered company or a U.S.-owned or -controlled entity. |
| [Screen 13](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=14_C_14) [14\_C\_14](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=14_C_14)  | In practice, the category of U.S. persons is broad and far-reaching, which is why Abbott requires all employees (including foreign subsidiaries and affiliates and their employees) to comply with these programs. | In practice, the category of U.S. persons is broad and far-reaching, which is why Abbott requires all employees (including foreign subsidiaries and affiliates and their employees) to comply with these programs. |
| [Screen 14](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=15_C_15) [15\_C\_15](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=15_C_15)  | Besides U.S. trade sanctions programs, Abbott may also be subject to sanctions imposed under the local laws of the other countries in which we do business.Sanctions mandated by the United Nations or the European Union may also impose restrictions on Abbott. This course focuses specifically on U.S. trade sanctions programs and the types of activities covered by each program. If you have questions about trade sanctions programs in other countries, please contact exports@abbott.com. | Besides U.S. trade sanctions programs, Abbott may also be subject to sanctions imposed under the local laws of the other countries in which we do business.Sanctions mandated by the United Nations or the European Union may also impose restrictions on Abbott. This course focuses specifically on U.S. trade sanctions programs and the types of activities covered by each program. If you have questions about trade sanctions programs in other countries, please contact exports@abbott.com. |
| [Screen 15](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=16_C_16) [16\_C\_16](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=16_C_16)  | Quick CheckTest your knowledge now! | Quick CheckTest your knowledge now! |
| [Screen 15](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=17_C_16) [17\_C\_16](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=17_C_16)  | Because you do not work in the U.S., the topic of trade sanctions is not relevant to you. | Because you do not work in the U.S., the topic of trade sanctions is not relevant to you. |
| [Screen 15](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=18_C_16) [18\_C\_16](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=18_C_16)  | True.False.Submit | True.False.Submit |
| [Screen 15](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=19_C_16) [19\_C\_16](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=19_C_16)  | That's correct!That's not correct!As a U.S.-headquartered company, Abbott and its employees are required by law to comply with all U.S. trade sanctions programs and trade controls in every country in which Abbott operates. | That's correct!That's not correct!As a U.S.-headquartered company, Abbott and its employees are required by law to comply with all U.S. trade sanctions programs and trade controls in every country in which Abbott operates. |
| [Screen 16](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=20_C_17) [20\_C\_17](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=20_C_17)  |  |  |
| [Screen 16](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=21_C_17) [21\_C\_17](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=21_C_17)  | Michelle, an account manager at a small, Colombian diagnostics company recently acquired by Abbott, receives an order for assays from a customer in Cuba. The U.S. has trade sanctions against Cuba, while Colombia does not. Since Michelle is a Colombian citizen working for a Colombian subsidiary, and Colombia has no trade sanctions against Cuba, would it be okay for Michelle to fill the order? | Michelle, an account manager at a small, Colombian diagnostics company recently acquired by Abbott, receives an order for assays from a customer in Cuba. The U.S. has trade sanctions against Cuba, while Colombia does not. Since Michelle is a Colombian citizen working for a Colombian subsidiary, and Colombia has no trade sanctions against Cuba, would it be okay for Michelle to fill the order? |
| [Screen 16](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=22_C_17) [22\_C\_17](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=22_C_17)  | Yes. As a Colombian citizen living in Colombia, Michelle is not defined as a “U.S. person.” Therefore, she is not obligated to comply with the sanctions program.Yes. While the U.S. trade sanction applies to U.S. companies operating in the U.S, it does not apply to their foreign subsidiaries.No. Even though Michelle is a Colombian citizen living in Colombia, she is working for a subsidiary of a U.S. corporation and is therefore required to comply with the U.S. embargo of Cuba.Submit | Yes. As a Colombian citizen living in Colombia, Michelle is not defined as a “U.S. person.” Therefore, she is not obligated to comply with the sanctions program.Yes. While the U.S. trade sanction applies to U.S. companies operating in the U.S, it does not apply to their foreign subsidiaries.No. Even though Michelle is a Colombian citizen living in Colombia, she is working for a subsidiary of a U.S. corporation and is therefore required to comply with the U.S. embargo of Cuba.Submit |
| [Screen 16](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=23_C_17) [23\_C\_17](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=23_C_17)  | That's correct!That's not correct!Even though Michelle isn't a U.S. citizen or resident, her employer is a subsidiary of Abbott. As a result, Michelle and her company are considered “U.S. persons” under the Cuba sanctions program. Therefore, she may not fill the order. | That's correct!That's not correct!Even though Michelle isn't a U.S. citizen or resident, her employer is a subsidiary of Abbott. As a result, Michelle and her company are considered “U.S. persons” under the Cuba sanctions program. Therefore, she may not fill the order. |
| [Screen 17](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=24_C_18) [24\_C\_18](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=24_C_18)  | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. |
| [Screen 17](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=25_C_18) [25\_C\_18](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=25_C_18)  | Trade Sanctions DefinedTrade sanctions, also known as economic sanctions, are trade restrictions imposed by the government of one or more countries on another country, organization, group, or individual. | Trade Sanctions DefinedTrade sanctions, also known as economic sanctions, are trade restrictions imposed by the government of one or more countries on another country, organization, group, or individual. |
| [Screen 17](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=26_C_18) [26\_C\_18](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=26_C_18)  | Violating Trade SanctionsViolating sanctions, or engaging in any activity designed to circumvent them, is a serious offense which can result in severe civil and criminal penalties for companies and individuals, including fines and imprisonment. | Violating Trade SanctionsViolating sanctions, or engaging in any activity designed to circumvent them, is a serious offense which can result in severe civil and criminal penalties for companies and individuals, including fines and imprisonment. |
| [Screen 17](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=27_C_18) [27\_C\_18](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=27_C_18)  | Who Is Required to Comply with U.S. Trade SanctionsThose required to comply with U.S. sanctions programs are referred to as “U.S. persons.” In practice, the category of U.S. persons is broad and far-reaching, which is why Abbott requires all employees (including foreign subsidiaries and affiliates and their employees) to comply with these programs. | Who Is Required to Comply with U.S. Trade SanctionsThose required to comply with U.S. sanctions programs are referred to as “U.S. persons.” In practice, the category of U.S. persons is broad and far-reaching, which is why Abbott requires all employees (including foreign subsidiaries and affiliates and their employees) to comply with these programs. |
| [Screen 19](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=29_C_20) [29\_C\_20](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=29_C_20)  | In the U.S., trade sanctions programs are administered and enforced by the U.S. Treasury Department's Office of Foreign Assets Control (OFAC) and U.S. Commerce Department’s Bureau of Industry and Security (BIS) as part of foreign and national security efforts. | In the U.S., trade sanctions programs are administered and enforced by the U.S. Treasury Department's Office of Foreign Assets Control (OFAC) and U.S. Commerce Department’s Bureau of Industry and Security (BIS) as part of foreign and national security efforts. |
| [Screen 20](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=30_C_21) [30\_C\_21](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=30_C_21)  | U.S. trade sanctions programs fall into three broad categories:* Comprehensive sanctions,
* Limited sanctions, and
* List-based sanctions.
 | U.S. trade sanctions programs fall into three broad categories:* Comprehensive sanctions,
* Limited sanctions, and

List-based sanctions. |
| [Screen 21](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=31_C_22) [31\_C\_22](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=31_C_22)  | Comprehensive sanctions, also commonly known as embargoes, prohibit nearly all transactions with a sanctioned country or territory including their governments, residents, and entities organized in or operating from the sanctioned country. | Comprehensive sanctions, also commonly known as embargoes, prohibit nearly all transactions with a sanctioned country or territory including their governments, residents, and entities organized in or operating from the sanctioned country. |
| [Screen 22](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=32_C_23) [32\_C\_23](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=32_C_23)  | Comprehensive sanctions generally prohibit:* Imports from the sanctioned country,
* Exports or re-exports to the sanctioned country, and
* Business negotiations or other financial dealings with or involving the sanctioned country or its government.
 | Comprehensive sanctions generally prohibit:* Imports from the sanctioned country,
* Exports or re-exports to the sanctioned country, and

Business negotiations or other financial dealings with or involving the sanctioned country or its government. |
| [Screen 23](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=33_C_24) [33\_C\_24](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=33_C_24)  | Did you know?Comprehensive country sanctions prohibit most dealings with a country’s citizens and companies, even if they are not directly connected to the government of that country. | Did you know?Comprehensive country sanctions prohibit most dealings with a country’s citizens and companies, even if they are not directly connected to the government of that country. |
| [Screen 24](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=34_C_25) [34\_C\_25](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=34_C_25)  | Sanctioned governments may also own or control companies that are outside their borders.Comprehensive country sanctions generally prohibit “U.S. persons” from engaging in activities with these companies, wherever they are located. | Sanctioned governments may also own or control companies that are outside their borders.Comprehensive country sanctions generally prohibit “U.S. persons” from engaging in activities with these companies, wherever they are located. |
| [Screen 25](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=35_C_26) [35\_C\_26](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=35_C_26)  | Countries that are currently subject to U.S. comprehensive sanctions include:* Cuba,
* Iran,
* North Korea,
* Certain Ukraine Regions (Crimea, Donetsk People’s Republic, and Luhansk People’s Republic) and
* Syria.

If you plan to conduct business with any of these countries, you should first contact exports@abbott.com. | Countries that are currently subject to U.S. comprehensive sanctions include:* Cuba,
* Iran,
* North Korea,
* Certain Ukraine Regions (Crimea, Donetsk People’s Republic, and Luhansk People’s Republic) and
* Syria.

If you plan to conduct business with any of these countries, you should first contact exports@abbott.com. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=36_C_27) [36\_C\_27](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=36_C_27)  | Some other countries are subject to limited or targeted sanctions rather than comprehensive sanctions.However, international events may cause the U.S. government to change a country’s status under its sanctions programs. This means some countries that are currently under limited sanctions could face more comprehensive sanctions in the future. | Some other countries are subject to limited or targeted sanctions rather than comprehensive sanctions.However, international events may cause the U.S. government to change a country’s status under its sanctions programs. This means some countries that are currently under limited sanctions could face more comprehensive sanctions in the future. |
| [Screen 27](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=37_C_28) [37\_C\_28](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=37_C_28)  | Limited sanctions are confined to certain activities or specifically named targets.For example, limited sanctions might just restrict the import and export of certain products. Or, they might only target the government of certain countries. | Limited sanctions are confined to certain activities or specifically named targets.For example, limited sanctions might just restrict the import and export of certain products. Or, they might only target the government of certain countries. |
| [Screen 28](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=38_C_29) [38\_C\_29](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=38_C_29)  | Some common countries and territories subject to limited U.S. sanctions programs include:* Afghanistan
* Burma (Myanmar)
* China (Incl. Hong Kong)
* Iraq
* Libya
* Nicaragua
* Russia
* Somalia
* West Bank
* Yemen

Visit [Sanctions Programs and Country Information | Office of Foreign Assets Control (treasury.gov)](https://ofac.treasury.gov/sanctions-programs-and-country-information), for a full listing of OFAC sanctions programs.If you are unsure of the status of a particular country, contact exports@abbott.com. | Some common countries and territories subject to limited U.S. sanctions programs include:* Afghanistan
* Burma (Myanmar)
* China (Incl. Hong Kong)
* Iraq
* Libya
* Nicaragua
* Russia
* Somalia
* West Bank
* Yemen

Visit [Sanctions Programs and Country Information | Office of Foreign Assets Control (treasury.gov)](https://ofac.treasury.gov/sanctions-programs-and-country-information), for a full listing of OFAC sanctions programs.If you are unsure of the status of a particular country, contact exports@abbott.com. |
| [Screen 29](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=39_C_30) [39\_C\_30](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=39_C_30)  | The majority of recent U.S. government sanctions are list-based sanctions that target individuals or entities in certain countries.These individuals or entities are typically involved in terrorism, drug trafficking, nuclear proliferation, or acting for or on behalf of targeted countries. They are designated to an OFAC list of Specially Designated Nationals and Blocked Persons (“SDNs”). | The majority of recent U.S. government sanctions are list-based sanctions that target individuals or entities in certain countries.These individuals or entities are typically involved in terrorism, drug trafficking, nuclear proliferation, or acting for or on behalf of targeted countries. They are designated to an OFAC list of Specially Designated Nationals and Blocked Persons (“SDNs”). |
| [Screen 30](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=40_C_31) [40\_C\_31](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=40_C_31)  | Collectively, all these targeted entities, organizations, and people are commonly referred to as restricted, denied, or prohibited parties.OFAC publishes the SDN list, which includes over 15,000 names of companies and individuals. The SDN list is dynamic and is updated constantly. | Collectively, all these targeted entities, organizations, and people are commonly referred to as restricted, denied, or prohibited parties.OFAC publishes the SDN list, which includes over 15,000 names of companies and individuals. The SDN list is dynamic and is updated constantly. |
| [Screen 31](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=41_C_32) [41\_C\_32](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=41_C_32)  | SDNs may move from country to country, and U.S. persons are prohibited from dealing with them wherever they are located.In addition, any entity owned 50 percent or more by one or more SDNs is also considered a prohibited party regardless of whether that entity is designated by name on the SDN list. U.S. persons are prohibited from engaging in nearly all activities with such entities. | SDNs may move from country to country, and U.S. persons are prohibited from dealing with them wherever they are located.In addition, any entity owned 50 percent or more by one or more SDNs is also considered a prohibited party regardless of whether that entity is designated by name on the SDN list. U.S. persons are prohibited from engaging in nearly all activities with such entities. |
| [Screen 32](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=42_C_33) [42\_C\_33](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=42_C_33)  | The Bureau of Industry and Security (BIS) and the U.S. Department of State also maintain lists of restricted parties, including the Denied Persons List, the Entity List, the Unverified List, and the Debarred Party List.Later in this course, you will learn about screening your prospective and existing trade partners against the various restricted party lists. | The Bureau of Industry and Security (BIS) and the U.S. Department of State also maintain lists of restricted parties, including the Denied Persons List, the Entity List, the Unverified List, and the Debarred Party List.Later in this course, you will learn about screening your prospective and existing trade partners against the various restricted party lists. |
| [Screen 33](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=43_C_34) [43\_C\_34](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=43_C_34)  | Quick CheckTest your knowledge now! | Quick CheckTest your knowledge now! |
| [Screen 33](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=44_C_34) [44\_C\_34](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=44_C_34)  | Mei, a sales manager at Abbott, is conducting restricted party screening on Zhejiang Medical Supply Company, a prospective new distributor in China. Although the company does not appear on any restricted party list, the customer profile states that the company is 75% owned by a board member, who is on OFAC’s list of SDNs. Assuming the distributor does not appear on any restricted party list, would it be okay to do business with this company? | Mei, a sales manager at Abbott, is conducting restricted party screening on Zhejiang Medical Supply Company, a prospective new distributor in China. Although the company does not appear on any restricted party list, the customer profile states that the company is 75% owned by a board member, who is on OFAC’s list of SDNs. Assuming the distributor does not appear on any restricted party list, would it be okay to do business with this company? |
| [Screen 33](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=45_C_34) [45\_C\_34](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=45_C_34)  | Yes, probably. Since the company itself does not appear on any restricted party list, it is ok to do business with it.No, probably not. Even though the company is not on any restricted party list, it appears to be owned by an SDN.Submit | Yes, probably. Since the company itself does not appear on any restricted party list, it is ok to do business with it.No, probably not. Even though the company is not on any restricted party list, it appears to be owned by an SDN.Submit |
| [Screen 33](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=46_C_34) [46\_C\_34](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=46_C_34)  | That's correct!That's not correct!Even though the company itself is not named on the restricted party lists, it appears to be owned by an SDN and requires further investigation. | That's correct!That's not correct!Even though the company itself is not named on the restricted party lists, it appears to be owned by an SDN and requires further investigation. |
| [Screen 34](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=47_C_35) [47\_C\_35](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=47_C_35)  | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. |
| [Screen 34](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=48_C_35) [48\_C\_35](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=48_C_35)  | Comprehensive SanctionsComprehensive sanctions, also commonly known as embargoes, prohibit nearly all transactions with a sanctioned country or territory including their governments, residents, and entities organized in or operating from the sanctioned country. | Comprehensive SanctionsComprehensive sanctions, also commonly known as embargoes, prohibit nearly all transactions with a sanctioned country or territory including their governments, residents, and entities organized in or operating from the sanctioned country. |
| [Screen 34](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=49_C_35) [49\_C\_35](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=49_C_35)  | Limited SanctionsLimited sanctions are confined to certain activities or specifically named targets. For example, limited sanctions might just restrict the import and export of certain products. Or, they might only target the government of certain countries. | Limited SanctionsLimited sanctions are confined to certain activities or specifically named targets. For example, limited sanctions might just restrict the import and export of certain products. Or, they might only target the government of certain countries. |
| [Screen 34](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=50_C_35) [50\_C\_35](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=50_C_35)  | List-based SanctionsList-based sanctions target individuals or entities in certain countries. They are designated as Specially Designated Nationals and Blocked Persons (“SDNs”). Collectively, these targeted entities, organizations, and people are commonly referred to as restricted, denied, or prohibited parties. | List-based SanctionsList-based sanctions target individuals or entities in certain countries. They are designated as Specially Designated Nationals and Blocked Persons (“SDNs”). Collectively, these targeted entities, organizations, and people are commonly referred to as restricted, denied, or prohibited parties. |
| [Screen 36](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=52_C_37) [52\_C\_37](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=52_C_37)  | There are a number of activities that are prohibited or restricted by sanctions programs.Let’s take a look at the main activities covered by sanctions and discuss how they relate to Abbott’s business. | There are a number of activities that are prohibited or restricted by sanctions programs.Let’s take a look at the main activities covered by sanctions and discuss how they relate to Abbott’s business. |
| [Screen 37](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=53_C_38) [53\_C\_38](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=53_C_38)  | Many sanctions programs make it illegal to export goods, services, software, or technology to a sanctioned country or to trade with a denied party.Export bans prohibit not only direct exports to a sanctioned country, but also indirect exports or re-exports through a third, non-sanctioned country. | Many sanctions programs make it illegal to export goods, services, software, or technology to a sanctioned country or to trade with a denied party.Export bans prohibit not only direct exports to a sanctioned country, but also indirect exports or re-exports through a third, non-sanctioned country. |
| [Screen 38](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=54_C_39) [54\_C\_39](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=54_C_39)  | Many programs have exemptions and general authorizations that may allow you to export the following even when other exports are prohibited:* Informational materials, personal baggage, clothing, cosmetics, and other personal belongings (if traveling)
* Certain food, medicine, and medical devices under a humanitarian exception.

These exemptions are narrow, do not apply in the same way in every program, and, in most cases, special licensing is required. Before exporting or re-exporting food, medicines, or medical devices under a sanctions program, contact exports@abbott.com for approval. | Many programs have exemptions and general authorizations that may allow you to export the following even when other exports are prohibited:* Informational materials, personal baggage, clothing, cosmetics, and other personal belongings (if traveling)
* Certain food, medicine, and medical devices under a humanitarian exception.

These exemptions are narrow, do not apply in the same way in every program, and, in most cases, special licensing is required. Before exporting or re-exporting food, medicines, or medical devices under a sanctions program, contact exports@abbott.com for approval. |
| [Screen 39](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=55_C_40) [55\_C\_40](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=55_C_40)  | Quick CheckTest your knowledge now! | Quick CheckTest your knowledge now! |
| [Screen 39](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=56_C_40) [56\_C\_40](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=56_C_40)  | Bruno, an Abbott sales rep, is attending a trade show in the U.S. He is approached by Ashley, an Irish distributor, regarding a sales opportunity in Iran. Ashley proposes that Bruno sell and ship the product to her in Ireland, and then she will handle the shipment to Iran. Would it be okay to proceed with the export? | Bruno, an Abbott sales rep, is attending a trade show in the U.S. He is approached by Ashley, an Irish distributor, regarding a sales opportunity in Iran. Ashley proposes that Bruno sell and ship the product to her in Ireland, and then she will handle the shipment to Iran. Would it be okay to proceed with the export? |
| [Screen 39](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=57_C_40) [57\_C\_40](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=57_C_40)  | Yes, probably, as Abbott would be exporting directly to Ireland, and Ireland is not on the list of countries targeted by U.S. sanctions.No, probably not, because even though export to Ireland is not banned by the U.S. government, export to Iran is, and Iran is the ultimate destination for Bruno’s product.Submit | Yes, probably, as Abbott would be exporting directly to Ireland, and Ireland is not on the list of countries targeted by U.S. sanctions.No, probably not, because even though export to Ireland is not banned by the U.S. government, export to Iran is, and Iran is the ultimate destination for Bruno’s product.Submit |
| [Screen 39](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=58_C_40) [58\_C\_40](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=58_C_40)  | That's correct!That's not correct!Even though Bruno is shipping the product to Ireland, he knows that the product will be re-exported to Iran – a U.S. sanctioned country. Absent U.S. Government authorization, this is a violation of U.S. export bans that prohibit not only direct exports to a sanctioned country like Iran, but also indirect exports or re-exports through a third, non-sanctioned country, like Ireland, with the knowledge that they will be re-exported to Iran. The sanctions cannot be avoided by trans-shipping goods through another country or selling via a distributor. | That's correct!That's not correct!Even though Bruno is shipping the product to Ireland, he knows that the product will be re-exported to Iran – a U.S. sanctioned country. Absent U.S. Government authorization, this is a violation of U.S. export bans that prohibit not only direct exports to a sanctioned country like Iran, but also indirect exports or re-exports through a third, non-sanctioned country, like Ireland, with the knowledge that they will be re-exported to Iran. The sanctions cannot be avoided by trans-shipping goods through another country or selling via a distributor. |
| [Screen 40](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=59_C_41) [59\_C\_41](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=59_C_41)  | Most trade sanctions programs prohibit the importation of goods and services directly from sanctioned countries into the U.S., and more broadly prohibit any dealings, anywhere, related to products or services that originate from sanctioned countries.This includes return of exported products that entered the sanctioned country’s stream of commerce. | Most trade sanctions programs prohibit the importation of goods and services directly from sanctioned countries into the U.S., and more broadly prohibit any dealings, anywhere, related to products or services that originate from sanctioned countries.This includes return of exported products that entered the sanctioned country’s stream of commerce. |
| [Screen 41](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=60_C_42) [60\_C\_42](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=60_C_42)  | The prohibition extends to indirect imports of sanctioned country goods that travel through a non-sanctioned country.The restriction also applies to goods made from raw materials or component parts from a sanctioned country. This means that a member of the Procurement team purchasing goods for Abbott must ensure that no products or components, in whole or in part, are knowingly sourced from any sanctioned person or country, no matter how far down the supply chain. | The prohibition extends to indirect imports of sanctioned country goods that travel through a non-sanctioned country.The restriction also applies to goods made from raw materials or component parts from a sanctioned country. This means that a member of the Procurement team purchasing goods for Abbott must ensure that no products or components, in whole or in part, are knowingly sourced from any sanctioned person or country, no matter how far down the supply chain. |
| [Screen 42](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=61_C_43) [61\_C\_43](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=61_C_43)  | Did you know?For Abbott purposes, importation prohibitions apply equally to Abbott affiliates, subsidiaries, and employees importing goods and services from targeted countries into any countries where Abbott does business. We should also educate Abbott suppliers on our expectation that they follow applicable trade controls. If you have any questions regarding sanctions-related import controls, please contact exports@abbott.com. | Did you know?For Abbott purposes, importation prohibitions apply equally to Abbott affiliates, subsidiaries, and employees importing goods and services from targeted countries into any countries where Abbott does business. We should also educate Abbott suppliers on our expectation that they follow applicable trade controls. If you have any questions regarding sanctions-related import controls, please contact exports@abbott.com. |
| [Screen 43](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=62_C_44) [62\_C\_44](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=62_C_44)  | U.S. citizens are legally permitted to travel to most sanctioned countries.However, some sanctions programs make it illegal to spend money or conduct certain activities in a sanctioned country without a license from OFAC. Even with proper licensing in place, certain in-country activities such as sales strategy meetings or promotional discussions in Iran, for example, are still prohibited. | U.S. citizens are legally permitted to travel to most sanctioned countries.However, some sanctions programs make it illegal to spend money or conduct certain activities in a sanctioned country without a license from OFAC. Even with proper licensing in place, certain in-country activities such as sales strategy meetings or promotional discussions in Iran, for example, are still prohibited. |
| [Screen 44](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=63_C_45) [63\_C\_45](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=63_C_45)  | So, as an Abbott employee located anywhere in the world, you must consult with Global Trade Compliance at exports@abbott.com before you travel on business to any sanctioned country. | So, as an Abbott employee located anywhere in the world, you must consult with Global Trade Compliance at exports@abbott.com before you travel on business to any sanctioned country. |
| [Screen 45](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=64_C_46) [64\_C\_46](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=64_C_46)  | Foreign trade controls and sanctions programs generally include a ban on facilitating activities by others.This ban makes it illegal to assist a non-U.S. person or company in any transaction that you, as a U.S. person (or employee of a U.S.-headquartered company), are not permitted to participate in yourself. For example, a U.S. company is prohibited from referring business with sanctioned countries to foreign companies or subsidiaries that are not subject to U.S. sanctions. | Foreign trade controls and sanctions programs generally include a ban on facilitating activities by others.This ban makes it illegal to assist a non-U.S. person or company in any transaction that you, as a U.S. person (or employee of a U.S.-headquartered company), are not permitted to participate in yourself. For example, a U.S. company is prohibited from referring business with sanctioned countries to foreign companies or subsidiaries that are not subject to U.S. sanctions. |
| [Screen 46](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=65_C_47) [65\_C\_47](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=65_C_47)  | Quick CheckTest your knowledge now! | Quick CheckTest your knowledge now! |
| [Screen 46](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=66_C_47) [66\_C\_47](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=66_C_47)  | Gina works for Abbott Argentina. She has seen the opportunity for expansion into Cuba but knows that unauthorized trade with Cuba remains prohibited under U.S. trade sanctions. Sergio, an Argentinian national, who works for an Argentinian marketing company, is heavily involved in the Cuban market. He approaches Gina about working on Abbott’s behalf to open up opportunities in the Cuban market in anticipation of the lifting of sanctions against Cuba. Gina agrees to refer business to Sergio’s company. Would this be okay? | Gina works for Abbott Argentina. She has seen the opportunity for expansion into Cuba but knows that unauthorized trade with Cuba remains prohibited under U.S. trade sanctions. Sergio, an Argentinian national, who works for an Argentinian marketing company, is heavily involved in the Cuban market. He approaches Gina about working on Abbott’s behalf to open up opportunities in the Cuban market in anticipation of the lifting of sanctions against Cuba. Gina agrees to refer business to Sergio’s company. Would this be okay? |
| [Screen 46](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=67_C_47) [67\_C\_47](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=67_C_47)  | Yes, probably, as the business with Cuba will be conducted by a third party whose company and country, Argentina, is not covered by the U.S. ban on trade with Cuba.No, probably not, as it is still illegal for a U.S. company to use a third party to facilitate business with a targeted country like Cuba.Submit | Yes, probably, as the business with Cuba will be conducted by a third party whose company and country, Argentina, is not covered by the U.S. ban on trade with Cuba.No, probably not, as it is still illegal for a U.S. company to use a third party to facilitate business with a targeted country like Cuba.Submit |
| [Screen 46](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=68_C_47) [68\_C\_47](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=68_C_47)  | That's correct!That's not correct!Even though Gina intends to use a third party who is not subject to U.S. trade sanctions, as an employee of a U.S. company, she is not permitted to refer business with sanctioned countries to foreign companies who are not required to comply with U.S. sanctions. | That's correct!That's not correct!Even though Gina intends to use a third party who is not subject to U.S. trade sanctions, as an employee of a U.S. company, she is not permitted to refer business with sanctioned countries to foreign companies who are not required to comply with U.S. sanctions. |
| [Screen 47](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=69_C_48) [69\_C\_48](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=69_C_48)  | Similar to prohibiting the facilitation of activities, most sanctions programs make it illegal to help someone avoid the sanctions rules.For example, advising someone on how to structure a transaction so that it avoids or evades the sanctions laws is in itself a sanctions violation. However, giving a basic explanation of what the sanctions laws say is not a sanctions violation, as long as you do not offer strategic advice on how to avoid those laws. | Similar to prohibiting the facilitation of activities, most sanctions programs make it illegal to help someone avoid the sanctions rules.For example, advising someone on how to structure a transaction so that it avoids or evades the sanctions laws is in itself a sanctions violation. However, giving a basic explanation of what the sanctions laws say is not a sanctions violation, as long as you do not offer strategic advice on how to avoid those laws. |
| [Screen 48](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=70_C_49) [70\_C\_49](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=70_C_49)  | The only legal way to do business with a sanctioned country without violating the sanctions program and Abbott policy is to get a license from the Office of Foreign Assets Control (OFAC) or Bureau of Industry and Security (BIS) to engage in authorized activities.Contact exports@abbott.com for any activity involving sanctioned countries. | The only legal way to do business with a sanctioned country without violating the sanctions program and Abbott policy is to get a license from the Office of Foreign Assets Control (OFAC) or Bureau of Industry and Security (BIS) to engage in authorized activities.Contact exports@abbott.com for any activity involving sanctioned countries. |
| [Screen 49](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=71_C_50) [71\_C\_50](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=71_C_50)  | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. |
| [Screen 49](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=72_C_50) [72\_C\_50](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=72_C_50)  | Exportation and Re-exportationExport bans prohibit not only direct exports to a sanctioned country, but also indirect exports or re-exports through a third, non-sanctioned country. | Exportation and Re-exportationExport bans prohibit not only direct exports to a sanctioned country, but also indirect exports or re-exports through a third, non-sanctioned country. |
| [Screen 49](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=73_C_50) [73\_C\_50](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=73_C_50)  | ImportationMost trade sanctions programs prohibit the importation of goods and services directly from sanctioned countries into the U.S. The prohibition extends to indirect imports of sanctioned country goods that travel through a non-sanctioned country. | ImportationMost trade sanctions programs prohibit the importation of goods and services directly from sanctioned countries into the U.S. The prohibition extends to indirect imports of sanctioned country goods that travel through a non-sanctioned country. |
| [Screen 49](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=74_C_50) [74\_C\_50](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=74_C_50)  | Business TravelU.S. citizens are legally permitted to travel to most sanctioned countries. However, some sanctions programs make it illegal to spend money or conduct certain activities in a sanctioned country. Consult with Global Trade Compliance at exports@abbott.com before you travel on business to any sanctioned country. | Business TravelU.S. citizens are legally permitted to travel to most sanctioned countries. However, some sanctions programs make it illegal to spend money or conduct certain activities in a sanctioned country. Consult with Global Trade Compliance at exports@abbott.com before you travel on business to any sanctioned country. |
| [Screen 49](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=75_C_50) [75\_C\_50](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=75_C_50)  | Facilitation of Activities by OthersForeign trade controls and sanctions programs generally include a ban against facilitating activities by others. It is illegal to assist a non-U.S. person or company in any transaction that you, as a U.S. person (or employee of a U.S.-headquartered company), are not permitted to participate in yourself. | Facilitation of Activities by OthersForeign trade controls and sanctions programs generally include a ban against facilitating activities by others. It is illegal to assist a non-U.S. person or company in any transaction that you, as a U.S. person (or employee of a U.S.-headquartered company), are not permitted to participate in yourself. |
| [Screen 49](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=76_C_50) [76\_C\_50](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=76_C_50)  | Trying to Circumvent SanctionsIt is illegal to help someone avoid the sanctions rules. | Trying to Circumvent SanctionsIt is illegal to help someone avoid the sanctions rules. |
| [Screen 51](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=78_C_52) [78\_C\_52](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=78_C_52)  | As mentioned earlier, both U.S. law and Abbott policy require every Abbott employee (including those of our foreign subsidiaries and affiliates) to comply with U.S. trade sanctions regulations. | As mentioned earlier, both U.S. law and Abbott policy require every Abbott employee (including those of our foreign subsidiaries and affiliates) to comply with U.S. trade sanctions regulations. |
| [Screen 52](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=79_C_53) [79\_C\_53](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=79_C_53)  | U.S. law prohibits doing business with any person or organization that is an SDN or is on a restricted party list.All Abbott affiliates globally must screen their prospective trade partners, customers, vendors, banks, healthcare professionals, principal investigators, speakers, recipients of donations, etc. against all applicable and relevant restricted party lists. | U.S. law prohibits doing business with any person or organization that is an SDN or is on a restricted party list.All Abbott affiliates globally must screen their prospective trade partners, customers, vendors, banks, healthcare professionals, principal investigators, speakers, recipients of donations, etc. against all applicable and relevant restricted party lists. |
| [Screen 53](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=80_C_54) [80\_C\_54](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=80_C_54)  | In addition, all Abbott affiliates globally must continue to screen their existing trade partners on an ongoing basis to ensure that they are not subsequently added to a restricted party list after the initial screening has been completed. | In addition, all Abbott affiliates globally must continue to screen their existing trade partners on an ongoing basis to ensure that they are not subsequently added to a restricted party list after the initial screening has been completed. |
| [Screen 54](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=81_C_55) [81\_C\_55](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=81_C_55)  | Screening is critical for compliance with sanctions programs.To help you conduct screening, Abbott’s Global Trade Compliance department has implemented a system that makes screening easy and efficient. This system allows you to screen a name or entity against the current restricted party lists, and once a name/entity is uploaded, the system automatically re-screens it whenever the lists are updated. To obtain access to the system and instructions on how to use it, please contact CCTC\_DPS@abbott.com. | Screening is critical for compliance with sanctions programs.To help you conduct screening, Abbott’s Global Trade Compliance department has implemented a system that makes screening easy and efficient. This system allows you to screen a name or entity against the current restricted party lists, and once a name/entity is uploaded, the system automatically re-screens it whenever the lists are updated. To obtain access to the system and instructions on how to use it, please contact CCTC\_DPS@abbott.com. |
| [Screen 55](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=82_C_56) [82\_C\_56](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=82_C_56)  | Did you know?The Denied Party Screening Procedure (CCTC8990.09.001) provides guidelines for complying with the denied party screening requirements and applies to all subsidiaries and divisions of Abbott globally. | Did you know?The Denied Party Screening Procedure (CCTC8990.09.001) provides guidelines for complying with the denied party screening requirements and applies to all subsidiaries and divisions of Abbott globally. |
| [Screen 56](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=83_C_57) [83\_C\_57](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=83_C_57)  | If screening reveals that a name or an entity appears on a restricted party list as an exact match, you should proceed with extreme caution.You should immediately suspend transactions involving the person or entity listed and contact CCTC\_DPS@abbott.com for further due diligence. | If screening reveals that a name or an entity appears on a restricted party list as an exact match, you should proceed with extreme caution.You should immediately suspend transactions involving the person or entity listed and contact CCTC\_DPS@abbott.com for further due diligence. |
| [Screen 57](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=84_C_58) [84\_C\_58](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=84_C_58)  | Most (but not all) transactions with denied parties are prohibited.Each country’s specific sanctions program has exceptions, exemptions, and licensed activities that may permit a particular transaction to go forward. To learn more about Abbott’s Denied Party Screening requirements, review the Denied Party Screening page on Abbott World. | Most (but not all) transactions with denied parties are prohibited.Each country’s specific sanctions program has exceptions, exemptions, and licensed activities that may permit a particular transaction to go forward. To learn more about Abbott’s Denied Party Screening requirements, review the Denied Party Screening page on Abbott World. |
| [Screen 58](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=85_C_59) [85\_C\_59](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=85_C_59)  | During the normal course of your business, watch out for red flags that can warn you of a potential violation of a trade sanctions program or might indicate that a product is destined for an unintended end-use, end-user, or end destination. | During the normal course of your business, watch out for red flags that can warn you of a potential violation of a trade sanctions program or might indicate that a product is destined for an unintended end-use, end-user, or end destination. |
| [Screen 59](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=86_C_60) [86\_C\_60](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=86_C_60)  | Identifying a red flag does not mean that the transaction cannot or should not proceed, but it does warn you of suspicious circumstances that need to be investigated before proceeding further. | Identifying a red flag does not mean that the transaction cannot or should not proceed, but it does warn you of suspicious circumstances that need to be investigated before proceeding further. |
| [Screen 60](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=87_C_61) [87\_C\_61](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=87_C_61)  | Turning a blind eye to red flags and proceeding with a transaction with knowledge that a violation has occurred or is about to occur is in itself a violation of the regulations.For example, if the end-user hospital name indicates possible connections with a sanctioned country (such as "Cuban Hospital" located in Qatar), this should be treated as a red flag that requires further investigation before proceeding. | Turning a blind eye to red flags and proceeding with a transaction with knowledge that a violation has occurred or is about to occur is in itself a violation of the regulations.For example, if the end-user hospital name indicates possible connections with a sanctioned country (such as "Cuban Hospital" located in Qatar), this should be treated as a red flag that requires further investigation before proceeding. |
| [Screen 61](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=88_C_62) [88\_C\_62](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=88_C_62)  | Here are some other red flags you should watch out for:* A customer declines routine installation, training, or maintenance service for a product that she has recently purchased (e.g., a diagnostic analyzer);
* A customer is willing to pay cash for an item that would normally be paid for in installments;
* You notice a large unexplained increase in orders from a customer.

The list above isn’t all-inclusive, so always be on alert for other possible red flags. Additional examples of red flags can be found in the Corporate Finance Policy CFM 8990 – U.S. Export and Foreign Trade Control Laws and Regulations. If you do notice any red flags, contact exports@abbott.com for further instructions. | Here are some other red flags you should watch out for:* A customer declines routine installation, training, or maintenance service for a product that she has recently purchased (e.g., a diagnostic analyzer);
* A customer is willing to pay cash for an item that would normally be paid for in installments;
* You notice a large unexplained increase in orders from a customer.

The list above isn’t all-inclusive, so always be on alert for other possible red flags. Additional examples of red flags can be found in the Corporate Finance Policy CFM 8990 – U.S. Export and Foreign Trade Control Laws and Regulations. If you do notice any red flags, contact exports@abbott.com for further instructions. |
| [Screen 62](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=89_C_63) [89\_C\_63](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=89_C_63)  | Quick CheckTest your knowledge now! | Quick CheckTest your knowledge now! |
| [Screen 62](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=90_C_63) [90\_C\_63](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=90_C_63)  | Which of the following are red flags that should alert you that you may be dealing with a sanctioned country or person? | Which of the following are red flags that should alert you that you may be dealing with a sanctioned country or person? |
| [Screen 62](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=91_C_63) [91\_C\_63](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=91_C_63)  | A company based in Rome that has connections to Iran asks you to ship an order to Turkey, one of Iran's neighbors.You meet with a customer in Belgium. His company is called International Trade Co. of Syria.A purchasing agent is reluctant to provide you with information about the final destination of some nutritional product you are selling.Orders for assays come from a location different from the location to which you sold the analyzer product.Submit | A company based in Rome that has connections to Iran asks you to ship an order to Turkey, one of Iran's neighbors.You meet with a customer in Belgium. His company is called International Trade Co. of Syria.A purchasing agent is reluctant to provide you with information about the final destination of some nutritional product you are selling.Orders for assays come from a location different from the location to which you sold the analyzer product.Submit |
| [Screen 62](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=92_C_63) [92\_C\_63](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=92_C_63)  | That's correct!That's not correct!These are all examples of red flags that should alert you that you may be dealing with a sanctioned country or person. | That's correct!That's not correct!These are all examples of red flags that should alert you that you may be dealing with a sanctioned country or person. |
| [Screen 63](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=93_C_64) [93\_C\_64](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=93_C_64)  | Violations of the U.S. sanctions programs may result in civil penalties of more than U.S. $300,000 per violation and criminal penalties of up to $1 million and/or 20 years imprisonment per violation.Other consequences such as negative publicity and loss of export privileges may also occur. | Violations of the U.S. sanctions programs may result in civil penalties of more than U.S. $300,000 per violation and criminal penalties of up to $1 million and/or 20 years imprisonment per violation.Other consequences such as negative publicity and loss of export privileges may also occur. |
| [Screen 64](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=94_C_65) [94\_C\_65](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=94_C_65)  | Self-disclosing a violation is a significant mitigating factor in terms of reducing penalties.So if you are aware of any potential violations, immediately contact Global Trade Compliance at +1-224-668-9585 or Legal Regulatory & Compliance at +1-224-668-5635. | Self-disclosing a violation is a significant mitigating factor in terms of reducing penalties.So if you are aware of any potential violations, immediately contact Global Trade Compliance at +1-224-668-9585 or Legal Regulatory & Compliance at +1-224-668-5635. |
| [Screen 65](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=95_C_66) [95\_C\_66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=95_C_66)  | Trade sanctions programs are complicated and can change in response to international events.CLICK FORWARD TO LEARN WHAT YOU CAN DO TO FULLY COMPLY WITH ALL U.S. FOREIGN TRADE CONTROLS AND SANCTIONS PROGRAMS. | Trade sanctions programs are complicated and can change in response to international events.CLICK FORWARD TO LEARN WHAT YOU CAN DO TO FULLY COMPLY WITH ALL U.S. FOREIGN TRADE CONTROLS AND SANCTIONS PROGRAMS. |
| [Screen 65](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=96_C_66) [96\_C\_66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=96_C_66)  | Follow Policies and ProceduresBe aware of and follow Abbott’s policies and procedures for processing and reviewing business activities that could be affected by sanctions programs. | Follow Policies and ProceduresBe aware of and follow Abbott’s policies and procedures for processing and reviewing business activities that could be affected by sanctions programs. |
| [Screen 65](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=97_C_66) [97\_C\_66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=97_C_66)  | Watch Out for Red FlagsAlways watch out for red flags indicating potential sanctions violations. | Watch Out for Red FlagsAlways watch out for red flags indicating potential sanctions violations. |
| [Screen 65](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=98_C_66) [98\_C\_66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=98_C_66)  | Stop the TransactionIf you spot a red flag, immediately stop the transaction and contact exports@abbott.com for guidance. | Stop the TransactionIf you spot a red flag, immediately stop the transaction and contact exports@abbott.com for guidance. |
| [Screen 65](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=99_C_66) [99\_C\_66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=99_C_66)  | Screen Trade PartnersAlways screen prospective trade partners, customers, vendors, healthcare professionals, etc. against all applicable and relevant restricted party lists, and ensure that existing partners are screened on an ongoing basis. | Screen Trade PartnersAlways screen prospective trade partners, customers, vendors, healthcare professionals, etc. against all applicable and relevant restricted party lists, and ensure that existing partners are screened on an ongoing basis. |
| [Screen 65](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=100_C_66) [100\_C\_66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=100_C_66)  | Raise Questions and ConcernsIf you have any questions or concerns about sanctions, raise them immediately to exports@abbott.com. | Raise Questions and ConcernsIf you have any questions or concerns about sanctions, raise them immediately to exports@abbott.com. |
| [Screen 66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=101_C_67) [101\_C\_67](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=101_C_67)  | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. |
| [Screen 66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=102_C_67) [102\_C\_67](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=102_C_67)  | Denied Party ScreeningAll Abbott affiliates globally must screen their prospective trade partners, customers, vendors, banks, healthcare professionals, principal investigators, speakers, recipients of donations, etc. against all applicable and relevant restricted party lists. | Denied Party ScreeningAll Abbott affiliates globally must screen their prospective trade partners, customers, vendors, banks, healthcare professionals, principal investigators, speakers, recipients of donations, etc. against all applicable and relevant restricted party lists. |
| [Screen 66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=103_C_67) [103\_C\_67](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=103_C_67)  | Abbott’s Denied Party Screening SystemAbbott’s Denied Party Screening System makes screening easy and efficient. To obtain access to the system and instructions on how to use it, contact CCTC\_DPS@abbott.com. | Abbott’s Denied Party Screening SystemAbbott’s Denied Party Screening System makes screening easy and efficient. To obtain access to the system and instructions on how to use it, contact CCTC\_DPS@abbott.com. |
| [Screen 66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=104_C_67) [104\_C\_67](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=104_C_67)  | If an Entity Appears on Restriction ListIf screening reveals that a name or an entity appears on a restricted party list as an exact match, you should immediately suspend transactions involving the person or entity listed and contact CCTC\_DPS@abbott.com for further due diligence. | If an Entity Appears on Restriction ListIf screening reveals that a name or an entity appears on a restricted party list as an exact match, you should immediately suspend transactions involving the person or entity listed and contact CCTC\_DPS@abbott.com for further due diligence. |
| [Screen 66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=105_C_67) [105\_C\_67](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=105_C_67)  | Red FlagsDuring the normal course of your business, watch out for red flags that can warn you of a potential violation of a trade sanctions program or might indicate that a product is destined for an unintended end-use, end-user, or end destination. | Red FlagsDuring the normal course of your business, watch out for red flags that can warn you of a potential violation of a trade sanctions program or might indicate that a product is destined for an unintended end-use, end-user, or end destination. |
| [Screen 66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=106_C_67) [106\_C\_67](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=106_C_67)  | Violations of U.S. Trade Sanctions ProgramsViolations of the U.S. sanctions programs may result in civil penalties of more than U.S. $300,000 per violation and criminal penalties of up to $1 million and/or 20 years imprisonment per violation. | Violations of U.S. Trade Sanctions ProgramsViolations of the U.S. sanctions programs may result in civil penalties of more than U.S. $300,000 per violation and criminal penalties of up to $1 million and/or 20 years imprisonment per violation. |
| [Screen 66](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=107_C_67) [107\_C\_67](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=107_C_67)  | Questions and ConcernsIf you have any questions or concerns about sanctions, raise them immediately to exports@abbott.com. | Questions and ConcernsIf you have any questions or concerns about sanctions, raise them immediately to exports@abbott.com. |
| [Screen 68](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=109_C_69) [109\_C\_69](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=109_C_69)  | Take a moment to confirm that you understand your responsibilities related to trade sanctionsI confirm that I understand my responsibilities regarding trade sanctions and know where to locate and review the applicable policies and procedures.Confirm | Take a moment to confirm that you understand your responsibilities related to trade sanctionsI confirm that I understand my responsibilities regarding trade sanctions and know where to locate and review the applicable policies and procedures.Confirm |
| [Screen 69](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=110_C_70) [110\_C\_70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=110_C_70)  | The Knowledge Check that follows consists of 10 questions. You must score 80% or higher to successfully complete this course.WHEN YOU ARE READY, CLICK THE KNOWLEDGE CHECK BUTTON. | The Knowledge Check that follows consists of 10 questions. You must score 80% or higher to successfully complete this course.WHEN YOU ARE READY, CLICK THE KNOWLEDGE CHECK BUTTON. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=111_C_71) [111\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=111_C_71)  | [1] Julie is a U.S. citizen and an Abbott employee in Canada. She is asked to arrange a trip to Cuba for a group of her Canadian colleagues, including booking hotel accommodations in Havana and some tourism. Canada has no economic sanctions against Cuba. Is it okay for Julie to arrange this trip? | [1] Julie is a U.S. citizen and an Abbott employee in Canada. She is asked to arrange a trip to Cuba for a group of her Canadian colleagues, including booking hotel accommodations in Havana and some tourism. Canada has no economic sanctions against Cuba. Is it okay for Julie to arrange this trip? |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=112_C_71) [112\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=112_C_71)  | [1] Yes. | [1] Yes. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=113_C_71) [113\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=113_C_71)  | [2] No.Next | [2] No.Next |
| Screen 70Question 1: Feedback114\_C\_71 | There are several reasons why Julie must refrain from any involvement in arranging the travel:* As a U.S. citizen, Julie is considered a “U.S. person” and is subject to trade sanctions against Cuba, regardless of where she resides.
* As a U.S. person, Julie may not assist non-U.S. persons to travel to Cuba for business or any purpose.
* As an employee of Abbott – a U.S. company – Julie is required to comply with all U.S. trade sanctions programs and controls in every country in which Abbott does business.
 | There are several reasons why Julie must refrain from any involvement in arranging the travel:* As a U.S. citizen, Julie is considered a “U.S. person” and is subject to trade sanctions against Cuba, regardless of where she resides.
* As a U.S. person, Julie may not assist non-U.S. persons to travel to Cuba for business or any purpose.

As an employee of Abbott – a U.S. company – Julie is required to comply with all U.S. trade sanctions programs and controls in every country in which Abbott does business. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=115_C_71) [115\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=115_C_71)  | [2] James, an Abbott Business Development Manager in the U.S., received a request for export of goods and services to Iran. He was aware of the general restriction against U.S. exports to Iran, so he passed along the business to his colleague in Spain. Is this okay? | [2] James, an Abbott Business Development Manager in the U.S., received a request for export of goods and services to Iran. He was aware of the general restriction against U.S. exports to Iran, so he passed along the business to his colleague in Spain. Is this okay? |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=116_C_71) [116\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=116_C_71)  | [1] Yes. | [1] Yes. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=117_C_71) [117\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=117_C_71)  | [2] No.Next | [2] No.Next |
| Screen 70Question 2: Feedback118\_C\_71 | James should not have referred the business to his colleague in Spain because:* Using a subsidiary to have dealings with a sanctioned country, like Iran, is considered facilitation of activities by others, and is prohibited. Referring the business to the subsidiary likely violates the OFAC sanctions, even if the subsidiary never actually engages in any Iran business. The prohibition on facilitation makes it illegal to assist a non-U.S. person or company in any transaction that you, as a U.S. person (or employee of a U.S.-headquartered company), are not authorized or permitted to participate in yourself.
* Because James’ colleague is an employee of Abbott – a U.S. company – just like James, he or she is required to comply with all U.S. trade sanctions programs and controls in Spain and in every country in which Abbott does business.
 | James should not have referred the business to his colleague in Spain because:* Using a subsidiary to have dealings with a sanctioned country, like Iran, is considered facilitation of activities by others, and is prohibited. Referring the business to the subsidiary likely violates the OFAC sanctions, even if the subsidiary never actually engages in any Iran business. The prohibition on facilitation makes it illegal to assist a non-U.S. person or company in any transaction that you, as a U.S. person (or employee of a U.S.-headquartered company), are not authorized or permitted to participate in yourself.

Because James’ colleague is an employee of Abbott – a U.S. company – just like James, he or she is required to comply with all U.S. trade sanctions programs and controls in Spain and in every country in which Abbott does business. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=119_C_71) [119\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=119_C_71)  | [3] Which of the following are considered U.S. persons who must comply with U.S. trade sanctions?Check all that apply. | [3] Which of the following are considered U.S. persons who must comply with U.S. trade sanctions?Check all that apply. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=120_C_71) [120\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=120_C_71)  | [1] A U.S. citizen who resides permanently in Israel. | [1] A U.S. citizen who resides permanently in Israel. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=121_C_71) [121\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=121_C_71)  | [2] The Paris affiliate of a U.S. company. | [2] The Paris affiliate of a U.S. company. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=122_C_71) [122\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=122_C_71)  | [3] A Mexican company located in Juarez that sells primarily to the U.S. | [3] A Mexican company located in Juarez that sells primarily to the U.S. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=123_C_71) [123\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=123_C_71)  | [4] A Danish citizen visiting the U.S. while on vacation.Next | [4] A Danish citizen visiting the U.S. while on vacation.Next |
| Screen 70Question 3: Feedback124\_C\_71 | U.S. trade sanctions apply to all "U.S. persons." The definition of a U.S. person includes:* Companies incorporated in or based in the U.S. (including Puerto Rico),
* Employees of U.S. companies (including those based in Puerto Rico), as well as employees of their non-U.S. affiliates,
* U.S. citizens or U.S. permanent residents, regardless of where they are located,
* Anyone who is in the U.S., including someone traveling on vacation, and
* Any foreign subsidiary of a U.S.-headquartered company or a U.S.-owned or-controlled entity.

So, the U.S. citizen living in Israel, the Paris affiliate of the U.S. company, and the Danish citizen while in the U.S. on vacation are all categorized as “U.S. persons.” But the Mexican company in Juarez is not, even though it trades with the U.S. | U.S. trade sanctions apply to all "U.S. persons." The definition of a U.S. person includes:* Companies incorporated in or based in the U.S. (including Puerto Rico),
* Employees of U.S. companies (including those based in Puerto Rico), as well as employees of their non-U.S. affiliates,
* U.S. citizens or U.S. permanent residents, regardless of where they are located,
* Anyone who is in the U.S., including someone traveling on vacation, and
* Any foreign subsidiary of a U.S.-headquartered company or a U.S.-owned or-controlled entity.

So, the U.S. citizen living in Israel, the Paris affiliate of the U.S. company, and the Danish citizen while in the U.S. on vacation are all categorized as “U.S. persons.” But the Mexican company in Juarez is not, even though it trades with the U.S. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=125_C_71) [125\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=125_C_71)  | [4] Which of the following actions by a U.S. company are likely to violate U.S. trade sanctions?Check all that apply. | [4] Which of the following actions by a U.S. company are likely to violate U.S. trade sanctions?Check all that apply. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=126_C_71) [126\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=126_C_71)  | [1] Exporting goods to France, knowing they will be re-exported to North Korea. | [1] Exporting goods to France, knowing they will be re-exported to North Korea. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=127_C_71) [127\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=127_C_71)  | [2] Sending food and medicine to a sanctioned country without OFAC or BIS licensing. | [2] Sending food and medicine to a sanctioned country without OFAC or BIS licensing. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=128_C_71) [128\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=128_C_71)  | [3] Selling to a company owned by an SDN. | [3] Selling to a company owned by an SDN. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=129_C_71) [129\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=129_C_71)  | [4] Selling equipment to a research institute affiliated with the government of Iran. | [4] Selling equipment to a research institute affiliated with the government of Iran. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=130_C_71) [130\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=130_C_71)  | [5] Purchasing goods that contain components, materials or ingredients sourced from sanctioned countries.Next | [5] Purchasing goods that contain components, materials or ingredients sourced from sanctioned countries.Next |
| Screen 70Question 4: Feedback131\_C\_71 | All of these actions are likely to violate U.S. trade sanctions.* A U.S. company cannot use a non-sanctioned country, like France, to re-export goods to a sanctioned county, like North Korea.
* Exports of food and medicine to a sanctioned country for humanitarian reasons may be permitted, but only with appropriate licensing from OFAC or BIS.
* U.S. trade sanctions prohibit selling to a company owned 50% or more by an SDN.
* It is a violation of U.S. sanctions to sell equipment to a company that has an affiliation with a sanctioned country, like Iran.
* A U.S. company cannot purchase goods, in whole or in part, that have been produced, manufactured, extracted, or processed in a sanctioned country or procured from a sanctioned person.
 | All of these actions are likely to violate U.S. trade sanctions.* A U.S. company cannot use a non-sanctioned country, like France, to re-export goods to a sanctioned county, like North Korea.
* Exports of food and medicine to a sanctioned country for humanitarian reasons may be permitted, but only with appropriate licensing from OFAC or BIS.
* U.S. trade sanctions prohibit selling to a company owned 50% or more by an SDN.
* It is a violation of U.S. sanctions to sell equipment to a company that has an affiliation with a sanctioned country, like Iran.

A U.S. company cannot purchase goods, in whole or in part, that have been produced, manufactured, extracted, or processed in a sanctioned country or procured from a sanctioned person. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=132_C_71) [132\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=132_C_71)  | [5] Istanbul Distributors, organized under the laws of Turkey, is a customer of Abbott. Istanbul Distributors places an order with Abbott for five (5) diagnostic devices. The purchasing agent specifically requests that all the labelling and packaging for the shipment be in Farsi because the devices are intended for re-export to Iran. Which of the following is true? | [5] Istanbul Distributors, organized under the laws of Turkey, is a customer of Abbott. Istanbul Distributors places an order with Abbott for five (5) diagnostic devices. The purchasing agent specifically requests that all the labelling and packaging for the shipment be in Farsi because the devices are intended for re-export to Iran. Which of the following is true? |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=133_C_71) [133\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=133_C_71)  | [1] Abbott may sell the devices to Istanbul Distributors because Turkey does not impose economic sanctions on Iran. | [1] Abbott may sell the devices to Istanbul Distributors because Turkey does not impose economic sanctions on Iran. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=134_C_71) [134\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=134_C_71)  | [2] Abbott may sell the devices to Istanbul Distributors as long as none of the documents relating to the transaction indicate that the devices are intended for re-export to Iran. | [2] Abbott may sell the devices to Istanbul Distributors as long as none of the documents relating to the transaction indicate that the devices are intended for re-export to Iran. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=135_C_71) [135\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=135_C_71)  | [3] Abbott may not sell the devices to Istanbul Distributors without a license because Abbott knows that the devices are intended for re-export to Iran.Next | [3] Abbott may not sell the devices to Istanbul Distributors without a license because Abbott knows that the devices are intended for re-export to Iran.Next |
| Screen 70Question 5: Feedback136\_C\_71 | Sending goods from the U.S. to a non-sanctioned country, like Turkey, with the intention of re-exporting them into a targeted country, like Iran, would be a violation of the U.S. sanctions program. Abbott may not sell the devices without a license to Istanbul Distributors because Abbott knows that the devices are intended for re-export to Iran. Even without explicit knowledge that the devices are destined for Iran, the request for Farsi labelling is a red flag that would require us to ask questions about the intended end-destination. | Sending goods from the U.S. to a non-sanctioned country, like Turkey, with the intention of re-exporting them into a targeted country, like Iran, would be a violation of the U.S. sanctions program. Abbott may not sell the devices without a license to Istanbul Distributors because Abbott knows that the devices are intended for re-export to Iran. Even without explicit knowledge that the devices are destined for Iran, the request for Farsi labelling is a red flag that would require us to ask questions about the intended end-destination. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=137_C_71) [137\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=137_C_71)  | [6] Trade sanctions are always imposed against countries and not individuals or entities. | [6] Trade sanctions are always imposed against countries and not individuals or entities. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=138_C_71) [138\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=138_C_71)  | [1] True. | [1] True. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=139_C_71) [139\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=139_C_71)  | [2] False.Next | [2] False.Next |
| Screen 70Question 6: Feedback140\_C\_71 | While trade sanctions can be imposed against countries, they can also be imposed against individuals and entities suspected of illegal activity. This can help prevent the spread of criminal enterprises. Governments of various countries maintain the details of these persons and entities on lists, and any sanctions against them are called list-based sanctions. | While trade sanctions can be imposed against countries, they can also be imposed against individuals and entities suspected of illegal activity. This can help prevent the spread of criminal enterprises. Governments of various countries maintain the details of these persons and entities on lists, and any sanctions against them are called list-based sanctions. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=141_C_71) [141\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=141_C_71)  | [7] Which of the following could happen to a U.S.-based company that imports refurbished medical equipment marked "Made in Iran” from Europe-based Iranian doctors?Check all that apply. | [7] Which of the following could happen to a U.S.-based company that imports refurbished medical equipment marked "Made in Iran” from Europe-based Iranian doctors?Check all that apply. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=142_C_71) [142\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=142_C_71)  | [1] Nothing. The goods are imported from Europe, not Iran. | [1] Nothing. The goods are imported from Europe, not Iran. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=143_C_71) [143\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=143_C_71)  | [2] If the imports are not properly licensed, the company may have to pay a fine of more than U.S. $300,000 per violation. | [2] If the imports are not properly licensed, the company may have to pay a fine of more than U.S. $300,000 per violation. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=144_C_71) [144\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=144_C_71)  | [3] If there is evidence that the owners of the company are intentionally hiding the true country of origin, they may be prosecuted and, if convicted, imprisoned and fined.Next | [3] If there is evidence that the owners of the company are intentionally hiding the true country of origin, they may be prosecuted and, if convicted, imprisoned and fined.Next |
| Screen 70Question 7: Feedback145\_C\_71 | OFAC rules generally prohibit imports from Iran. Violations of U.S. sanctions may result in civil penalties of more than U.S. $300,000 per violation. Also, if the violation is found to be criminal in nature, higher penalties and potential imprisonment may apply. | OFAC rules generally prohibit imports from Iran. Violations of U.S. sanctions may result in civil penalties of more than U.S. $300,000 per violation. Also, if the violation is found to be criminal in nature, higher penalties and potential imprisonment may apply. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=146_C_71) [146\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=146_C_71)  | [8] You have screened a prospective customer against all applicable and relevant restricted party lists. The customer does not appear on any of the lists. Your manager shares a red flag she identified about the customer. You decide not to look into the red flag because you have already screened the customer. Is this okay? | [8] You have screened a prospective customer against all applicable and relevant restricted party lists. The customer does not appear on any of the lists. Your manager shares a red flag she identified about the customer. You decide not to look into the red flag because you have already screened the customer. Is this okay? |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=147_C_71) [147\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=147_C_71)  | [1] Yes. | [1] Yes. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=148_C_71) [148\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=148_C_71)  | [2] No.Next | [2] No.Next |
| Screen 70Question 8: Feedback149\_C\_71 | Red flags warn you of suspicious circumstances that need to be investigated before proceeding. If you do not investigate the red flag and end up doing business with a restricted party, you can be found guilty of violating U.S. trade sanctions laws, even if your violations are unintended. | Red flags warn you of suspicious circumstances that need to be investigated before proceeding. If you do not investigate the red flag and end up doing business with a restricted party, you can be found guilty of violating U.S. trade sanctions laws, even if your violations are unintended. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=150_C_71) [150\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=150_C_71)  | [9] Which of the following should warn you that a transaction could potentially violate U.S. trade sanctions laws? | [9] Which of the following should warn you that a transaction could potentially violate U.S. trade sanctions laws? |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=151_C_71) [151\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=151_C_71)  | [1] A customer requests an order to be delivered to an unusual location. | [1] A customer requests an order to be delivered to an unusual location. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=152_C_71) [152\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=152_C_71)  | [2] A customer insists on paying cash for an expensive item that would normally be paid for in installments. | [2] A customer insists on paying cash for an expensive item that would normally be paid for in installments. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=153_C_71) [153\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=153_C_71)  | [3] The name of the company you are dealing with indicates possible ties with a sanctioned country. | [3] The name of the company you are dealing with indicates possible ties with a sanctioned country. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=154_C_71) [154\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=154_C_71)  | [4] A product's technical specifications do not fit the technical specifications of products typically found in the country it is being shipped to. | [4] A product's technical specifications do not fit the technical specifications of products typically found in the country it is being shipped to. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=155_C_71) [155\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=155_C_71)  | [5] All of the above.Next | [5] All of the above.Next |
| Screen 70Question 9: Feedback156\_C\_71 | All of these actions should raise red flags or warning signals as they all indicate potential violations of U.S. trade sanctions laws. | All of these actions should raise red flags or warning signals as they all indicate potential violations of U.S. trade sanctions laws. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=157_C_71) [157\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=157_C_71)  | [10] Who should you contact if you have any questions or would like to learn more about sanctions programs? Check all that apply. | [10] Who should you contact if you have any questions or would like to learn more about sanctions programs? Check all that apply. |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=158_C_71) [158\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=158_C_71)  | [1] Human Resources (HR) | [1] Human Resources (HR) |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=159_C_71) [159\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=159_C_71)  | [2] Global Trade Compliance | [2] Global Trade Compliance |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=160_C_71) [160\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=160_C_71)  | [3] Public Affairs | [3] Public Affairs |
| [Screen 70](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=161_C_71) [161\_C\_71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=161_C_71)  | [4] Legal Regulatory & Compliance (LR&C)Submit | [4] Legal Regulatory & Compliance (LR&C)Submit |
| Screen 70Question 10: Feedback162\_C\_71 | If you have questions or would like to learn more about sanctions programs, please contact Global Trade Legal Regulatory & Compliance (LR&C) at exports@abbott.com. | If you have questions or would like to learn more about sanctions programs, please contact Global Trade Legal Regulatory & Compliance (LR&C) at exports@abbott.com. |
| [Screen 71](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=163_C_72) [163\_C\_72](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=163_C_72)  | No results are available, as you have not completed the Knowledge Check.Congratulations! You have successfully passed the Knowledge Check.Please review your results below by clicking on each question.Once you’re done, click the forward arrow to take a short survey.Sorry, you did not pass the Knowledge Check. Take a few minutes to review your results below by clicking on each question.When you are done, click the Retake button. | No results are available, as you have not completed the Knowledge Check.Congratulations! You have successfully passed the Knowledge Check.Please review your results below by clicking on each question.Once you’re done, click the forward arrow to take a short survey.Sorry, you did not pass the Knowledge Check. Take a few minutes to review your results below by clicking on each question.When you are done, click the Retake button. |
| [Screen 72](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=167_C_199) [167\_C\_199](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=167_C_199)  | [3] As a result of this session, I have a better understanding of trade sanctions.Strongly DisagreeDisagreeNeutralAgreeStrongly Agree | [3] As a result of this session, I have a better understanding of trade sanctions.Strongly DisagreeDisagreeNeutralAgreeStrongly Agree |
| [Screen 73](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=170_C_200) [170\_C\_200](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=170_C_200)  | Where to Get Help | Where to Get Help |
| [Screen 73](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=171_C_200) [171\_C\_200](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=171_C_200)  | MANAGER OR SUPERVISORIf you spot a red flag when dealing with a trade partner, have concerns related to attempts by anyone to circumvent sanctions, or if you have general questions about trade sanctions programs, always speak to your manager. Your manager knows you and your work environment and should be able to help you address the situation appropriately. You can also talk to your manager if you have questions on how this course applies to your specific job responsibilities. | MANAGER OR SUPERVISORIf you spot a red flag when dealing with a trade partner, have concerns related to attempts by anyone to circumvent sanctions, or if you have general questions about trade sanctions programs, always speak to your manager. Your manager knows you and your work environment and should be able to help you address the situation appropriately. You can also talk to your manager if you have questions on how this course applies to your specific job responsibilities. |
| [Screen 73](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=172_C_200) [172\_C\_200](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=172_C_200)  | WRITTEN STANDARDS* Review Abbott’s [Code of Business Conduct](http://www.abbott.com/investors/governance/code-of-business-conduct.html) for guidance on complying with all applicable trade regulations.
* Refer to the following corporate policies and procedures for processing and reviewing business activities that could be affected by sanctions programs. Click [here](https://abbott.sharepoint.com/sites/AW-GlobalTradeCompliance/SitePages/Policies-and-Procedures.aspx) to access the documents on Abbott World.
* Corporate Legal Policy 60-3 – U.S. Foreign Embargo & Trade Control Laws
* CFM 8990 – Sanctions and Foreign Trade Controls
* CCTC8990.01.001 – Deemed Export Controls
* CCTC8990.03.001 – BIS Export / Reexport License Requests
* CCTC8990.09.001 – Denied Party Screening Procedure
* CCTC8990.10.001 -- OFAC Licensing Procedure
* CCTC8990.10.003 – Commercial Activities Involving OFAC General Licenses
* CCTC8990.10.004 – Interactions with Healthcare Professionals and Sanctioned Countries
* CCTC8990.11.001 – Export Control Classification Number Classifications
 | WRITTEN STANDARDS* Review Abbott’s [Code of Business Conduct](http://www.abbott.com/investors/governance/code-of-business-conduct.html) for guidance on complying with all applicable trade regulations.
* Refer to the following corporate policies and procedures for processing and reviewing business activities that could be affected by sanctions programs. Click [here](https://abbott.sharepoint.com/sites/AW-GlobalTradeCompliance/SitePages/Policies-and-Procedures.aspx) to access the documents on Abbott World.
* Corporate Legal Policy 60-3 – U.S. Foreign Embargo & Trade Control Laws
* CFM 8990 – Sanctions and Foreign Trade Controls
* CCTC8990.01.001 – Deemed Export Controls
* CCTC8990.03.001 – BIS Export / Reexport License Requests
* CCTC8990.09.001 – Denied Party Screening Procedure
* CCTC8990.10.001 -- OFAC Licensing Procedure
* CCTC8990.10.003 – Commercial Activities Involving OFAC General Licenses
* CCTC8990.10.004 – Interactions with Healthcare Professionals and Sanctioned Countries

CCTC8990.11.001 – Export Control Classification Number Classifications |
| [Screen 73](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=173_C_200) [173\_C\_200](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=173_C_200)  | Global Trade ComplianceGlobal Trade Compliance is a corporate resource available to address your questions or concerns about trade sanctions programs. If you have any questions or would like to learn more about sanctions programs, please contact:Phone: +1-224-668-9585Email: exports@abbott.comWebsite:* Denied Party Screening details can be reviewed on Abbott World by clicking [here](https://abbott.sharepoint.com/sites/AW-GlobalTradeCompliance/SitePages/DeniedPartyScreening.aspx) .
* If you have any concerns about a potential violation, immediately contact Global Trade Compliance at +1-224-668-9585 or Legal Regulatory & Compliance at +1-224-668-5635.
 | Global Trade ComplianceGlobal Trade Compliance is a corporate resource available to address your questions or concerns about trade sanctions programs. If you have any questions or would like to learn more about sanctions programs, please contact:Phone: +1-224-668-9585Email: exports@abbott.comWebsite:* Denied Party Screening details can be reviewed on Abbott World by clicking [here](https://abbott.sharepoint.com/sites/AW-GlobalTradeCompliance/SitePages/DeniedPartyScreening.aspx) .

If you have any concerns about a potential violation, immediately contact Global Trade Compliance at +1-224-668-9585 or Legal Regulatory & Compliance at +1-224-668-5635. |
| [Screen 73](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=174_C_200) [174\_C\_200](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=174_C_200)  | Legal DivisionContact the Legal Division at +1-224-668-5635 with questions or concerns about legal implications of potential trade sanctions violations. | Legal DivisionContact the Legal Division at +1-224-668-5635 with questions or concerns about legal implications of potential trade sanctions violations. |
| [Screen 73](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=175_C_200) [175\_C\_200](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=175_C_200)  | OFFICE OF ETHICS AND COMPLIANCE (OEC)The OEC is a corporate resource available to address your compliance questions or concerns, including interactions that may occur in connection with meals, travel, and entertainment.* Visit the [Contact OEC](https://icomply.abbott.com/Apps/ComplianceContacts/) page on the [OEC website](https://abbott.sharepoint.com/sites/AW-Ethics_Compliance) on Abbott World.
* Visit [Speak Up](http://speakup.abbott.com/) to voice your concerns about potential violations of our Code of Business Conduct or policies. [Speak Up](http://speakup.abbott.com/) is available globally, 24/7 in multiple languages.
* You can also email investigations@abbott.com.
 | OFFICE OF ETHICS AND COMPLIANCE (OEC)The OEC is a corporate resource available to address your compliance questions or concerns, including interactions that may occur in connection with meals, travel, and entertainment.* Visit the [Contact OEC](https://icomply.abbott.com/Apps/ComplianceContacts/) page on the [OEC website](https://abbott.sharepoint.com/sites/AW-Ethics_Compliance) on Abbott World.
* Visit [Speak Up](http://speakup.abbott.com/) to voice your concerns about potential violations of our Code of Business Conduct or policies. [Speak Up](http://speakup.abbott.com/) is available globally, 24/7 in multiple languages.

You can also email investigations@abbott.com. |
| [Screen 73](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=176_C_200) [176\_C\_200](http://www.learnex.co.uk/test/AbbottUTA/courses/EN-US/course/index.html?showScreen=176_C_200)  | Course ResourcesTranscriptClick [here](file:///C%3A%5Cdev%5CAbbottUTA%5Ccourses%5CEN-US%5Ctranslation%5Creference%5CTranscript.pdf) for a full transcript of the course | Course ResourcesTranscriptClick [here](file:///C%3A%5Cdev%5CAbbottUTA%5Ccourses%5CEN-US%5Ctranslation%5Creference%5CTranscript.pdf) for a full transcript of the course |
| 177\_toc\_1 | Welcome | Welcome |
| 178\_toc\_2 | Understanding Sanctions and Trade Compliance | Understanding Sanctions and Trade Compliance |
| 179\_toc\_3 | Our Philosophy | Our Philosophy |
| 180\_toc\_4 | Objectives | Objectives |
| 181\_toc\_5 | Table of Contents | Table of Contents |
| 182\_toc\_6 | Introduction to Trade Sanctions  | Introduction to Trade Sanctions  |
| 183\_toc\_7 | Trade Sanctions Defined | Trade Sanctions Defined |
| 184\_toc\_8 | Purpose of Trade Sanctions | Purpose of Trade Sanctions |
| 185\_toc\_9 | Violating Trade Sanctions | Violating Trade Sanctions |
| 186\_toc\_10 | Abbott’s Commitment | Abbott’s Commitment |
| 187\_toc\_11 | U.S. Persons Defined | U.S. Persons Defined |
| 188\_toc\_12 | Other Sanctions Programs | Other Sanctions Programs |
| 189\_toc\_13 | Quick Check | Quick Check |
| 190\_toc\_14 | Review | Review |
| 191\_toc\_15 | Table of Contents | Table of Contents |
| 192\_toc\_16 | Laws and Regulations  | Laws and Regulations  |
| 193\_toc\_17 | Introduction | Introduction |
| 194\_toc\_18 | Comprehensive Sanctions | Comprehensive Sanctions |
| 195\_toc\_19 | Limited Sanctions | Limited Sanctions |
| 196\_toc\_20 | List-based Sanctions | List-based Sanctions |
| 197\_toc\_21 | Quick Check | Quick Check |
| 198\_toc\_22 | Review | Review |
| 199\_toc\_23 | Table of Contents | Table of Contents |
| 200\_toc\_24 | The Impact on Our Business  | The Impact on Our Business  |
| 201\_toc\_25 | Introduction | Introduction |
| 202\_toc\_26 | Exportation and Re-exportation | Exportation and Re-exportation |
| 203\_toc\_27 | Quick Check | Quick Check |
| 204\_toc\_28 | Importation | Importation |
| 205\_toc\_29 | Business Travel | Business Travel |
| 206\_toc\_30 | Facilitation of Activities by Others | Facilitation of Activities by Others |
| 207\_toc\_31 | Quick Check | Quick Check |
| 208\_toc\_32 | Trying to Circumvent Sanctions | Trying to Circumvent Sanctions |
| 209\_toc\_33 | Review | Review |
| 210\_toc\_34 | Table of Contents | Table of Contents |
| 211\_toc\_35 | Our Responsibilities | Our Responsibilities |
| 212\_toc\_36 | Introduction | Introduction |
| 213\_toc\_37 | Importance of Screening Trade Partners | Importance of Screening Trade Partners |
| 214\_toc\_38 | Denied Party Screening System | Denied Party Screening System |
| 215\_toc\_39 | What to Do If You Find a Name on a Restricted Party List | What to Do If You Find a Name on a Restricted Party List |
| 216\_toc\_40 | Red Flags | Red Flags |
| 217\_toc\_41 | Quick Check | Quick Check |
| 218\_toc\_42 | Consequences of Trade Sanctions Violations | Consequences of Trade Sanctions Violations |
| 219\_toc\_43 | What to Do | What to Do |
| 220\_toc\_44 | Review | Review |
| 221\_toc\_45 | Table of Contents | Table of Contents |
| 222\_toc\_46 | Your Commitment | Your Commitment |
| 223\_toc\_47 | Your Commitment | Your Commitment |
| 224\_toc\_48 | Knowledge Check | Knowledge Check |
| 225\_toc\_49 | Introduction | Introduction |
| 226\_toc\_50 | Assessment | Assessment |
| 227\_toc\_51 | Feedback | Feedback |
| 228\_toc\_52 | Survey | Survey |
| 229\_string\_1 | The Course cannot contact the LMS. Click 'OK' to continue and review the course. Note, Course Certification may not be available. Click 'Cancel' to exit  | The Course cannot contact the LMS. Click 'OK' to continue and review the course. Note, Course Certification may not be available. Click 'Cancel' to exit  |
| 230\_string\_2 | All questions remain unanswered | All questions remain unanswered |
| 231\_string\_3 | Questions | Questions |
| 232\_string\_4 | Question | Question |
| 233\_string\_5 | not answered | not answered |
| 234\_string\_6 | That's correct! | That's correct! |
| 235\_string\_7 | That's not correct! | That's not correct! |
| 236\_string\_8 | Feedback:  | Feedback:  |
| 237\_string\_9 | Understanding Sanctions and Trade Compliance  | Understanding Sanctions and Trade Compliance  |
| 238\_string\_10 | Knowledge Check | Knowledge Check |
| 239\_string\_11 | Submit | Submit |
| 240\_string\_12 | Retake | Retake |
| 241\_string\_13 | Course Description: As a healthcare company, it is critical that we always do what is right for the many people we serve. This includes complying with all applicable laws and regulations. In this course, employees will learn how to comply with U.S. trade sanctions, the types of activities covered and how to recognize the warning signs of potential violations. ​​​​​​This course will take approximately 30 minutes to complete. | Course Description: As a healthcare company, it is critical that we always do what is right for the many people we serve. This includes complying with all applicable laws and regulations. In this course, employees will learn how to comply with U.S. trade sanctions, the types of activities covered and how to recognize the warning signs of potential violations. ​​​​​​This course will take approximately 30 minutes to complete. |
| 242\_string\_14 | Menu | Menu |
| 243\_string\_15 | Resources | Resources |
| 244\_string\_16 | Reference Material | Reference Material |
| 245\_string\_17 | Audio | Audio |
| 246\_string\_18 | Exit | Exit |
| 247\_string\_19 | Close | Close |
| 248\_string\_20 | Comment... | Comment... |

Interactions with Competitors

|  |  |  |
| --- | --- | --- |
| ID | Source | Target |
| [Screen 0](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=1_C_1) [1\_C\_1](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=1_C_1)  | Interactions with CompetitorsClick the forward arrow. | Interactions with CompetitorsClick the forward arrow. |
| [Screen 1](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=2_C_2) [2\_C\_2](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=2_C_2)  | At Abbott, we are committed to fair dealing and complying with competition laws.Competition benefits everyone, from businesses to consumers to the economy as a whole. Competition results in dynamic markets, leading to increased productivity and better value for consumers. | At Abbott, we are committed to fair dealing and complying with competition laws.Competition benefits everyone, from businesses to consumers to the economy as a whole. Competition results in dynamic markets, leading to increased productivity and better value for consumers. |
| [Screen 2](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=3_C_3) [3\_C\_3](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=3_C_3)  | Upon completion of this course, you will:* Be able to explain what anti-competitive behavior is, who it impacts, and how.
* Recognize that there are laws and regulations designed to prevent anti-competitive behavior.
* Understand Abbott’s expectations for conducting business globally in the right way.
* Know where to go for help and support.
 | Upon completion of this course, you will:* Be able to explain what anti-competitive behavior is, who it impacts, and how.
* Recognize that there are laws and regulations designed to prevent anti-competitive behavior.
* Understand Abbott’s expectations for conducting business globally in the right way.

Know where to go for help and support. |
| [Screen 3](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=4_C_4) [4\_C\_4](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=4_C_4)  | [1] Welcome1 minute[2] Introduction to Antitrust2 minutes[3] Laws and Regulations4 minutes[4] The Impact on Our Business and Our Responsibilities5 minutes[5] Your Commitment1 minute[6] Knowledge Check3 minutesLearning ProgressThis Topic is now available. | [1] Welcome1 minute[2] Introduction to Antitrust2 minutes[3] Laws and Regulations4 minutes[4] The Impact on Our Business and Our Responsibilities5 minutes[5] Your Commitment1 minute[6] Knowledge Check3 minutesLearning ProgressThis Topic is now available. |
| [Screen 4](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=5_C_5) [5\_C\_5](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=5_C_5)  | In our day-to-day work activities, we sometimes interact with competitors.These interactions may take place in formal settings like when we are participating in tenders or trade association meetings, or in less formal settings like chance encounters in doctors’ offices. Regardless of the setting, any interaction with a competitor could potentially pose a risk to you and Abbott. | In our day-to-day work activities, we sometimes interact with competitors.These interactions may take place in formal settings like when we are participating in tenders or trade association meetings, or in less formal settings like chance encounters in doctors’ offices. Regardless of the setting, any interaction with a competitor could potentially pose a risk to you and Abbott. |
| [Screen 5](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=6_C_6) [6\_C\_6](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=6_C_6)  | As a healthcare company, it is critical that we always do what is right for the many people we serve.This includes complying with antitrust laws designed to prevent unfair competition. | As a healthcare company, it is critical that we always do what is right for the many people we serve.This includes complying with antitrust laws designed to prevent unfair competition. |
| [Screen 6](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=7_C_7) [7\_C\_7](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=7_C_7)  | Competition laws, also known as antitrust laws, exist to protect consumers from conduct that is anti-competitive, deceptive, or unfair.These laws prohibit agreements that eliminate or discourage competition and apply to many aspects of our business, including relationships with competitors, prices, marketing and trade practices, and terms of sale to distributors and other customers. | Competition laws, also known as antitrust laws, exist to protect consumers from conduct that is anti-competitive, deceptive, or unfair.These laws prohibit agreements that eliminate or discourage competition and apply to many aspects of our business, including relationships with competitors, prices, marketing and trade practices, and terms of sale to distributors and other customers. |
| [Screen 7](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=8_C_8) [8\_C\_8](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=8_C_8)  | Quick CheckTest your knowledge now! | Quick CheckTest your knowledge now! |
| [Screen 7](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=9_C_8) [9\_C\_8](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=9_C_8)  | It is okay during an informal conversation to discuss product prices with competitors? | It is okay during an informal conversation to discuss product prices with competitors? |
| [Screen 7](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=10_C_8) [10\_C\_8](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=10_C_8)  | TrueFalseSubmit | TrueFalseSubmit |
| [Screen 7](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=11_C_8) [11\_C\_8](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=11_C_8)  | That's correct!That's not correct!We must always exercise caution when interacting with competitors. You should not discuss sensitive business topics such as prices, sales terms, business or marketing plans, margins, costs, production capacity, inventory levels or discounts with competitors. | That's correct!That's not correct!We must always exercise caution when interacting with competitors. You should not discuss sensitive business topics such as prices, sales terms, business or marketing plans, margins, costs, production capacity, inventory levels or discounts with competitors. |
| [Screen 9](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=13_C_10) [13\_C\_10](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=13_C_10)  | Most countries in which we do business have laws that prohibit unfair competition. | Most countries in which we do business have laws that prohibit unfair competition. |
| [Screen 10](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=14_C_11) [14\_C\_11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=14_C_11)  | Abbott’s own standards on fair competition are consistent with our commitment to conduct business with honesty, fairness, and integrity.These standards can be found in Abbott’s Code of Business Conduct and Ethics and Compliance Global Policy on Business Standards. | Abbott’s own standards on fair competition are consistent with our commitment to conduct business with honesty, fairness, and integrity.These standards can be found in Abbott’s Code of Business Conduct and Ethics and Compliance Global Policy on Business Standards. |
| [Screen 10](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=15_C_11) [15\_C\_11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=15_C_11)  | Ensuring Our Interactions with Competitors are AppropriateAgreements or discussions with competitors regarding price, volume, limiting or controlling production or sales volume, customer or market allocation, tenders, requests for proposal, or bids are strictly prohibited.Boycotts are also prohibited. Agreeing with a competitor not to deal with another company or supplier, or encouraging others to do so, could be construed as unfair competition. | Ensuring Our Interactions with Competitors are AppropriateAgreements or discussions with competitors regarding price, volume, limiting or controlling production or sales volume, customer or market allocation, tenders, requests for proposal, or bids are strictly prohibited.Boycotts are also prohibited. Agreeing with a competitor not to deal with another company or supplier, or encouraging others to do so, could be construed as unfair competition. |
| [Screen 10](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=16_C_11) [16\_C\_11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=16_C_11)  | Adhering to the LawsWe are committed to complying with competition laws in every country where we do business. | Adhering to the LawsWe are committed to complying with competition laws in every country where we do business. |
| [Screen 10](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=17_C_11) [17\_C\_11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=17_C_11)  | Fair, Merit-Based Tender ProcessesAbbott is committed to competing fairly in all tenders, requests for proposals, and bids. Collusion with competitors, bid rigging, and similar actions which might inappropriately impact the outcome of selection processes are strictly prohibited. | Fair, Merit-Based Tender ProcessesAbbott is committed to competing fairly in all tenders, requests for proposals, and bids. Collusion with competitors, bid rigging, and similar actions which might inappropriately impact the outcome of selection processes are strictly prohibited. |
| [Screen 10](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=18_C_11) [18\_C\_11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=18_C_11)  | Meetings with CompetitorsWhen meeting with competitors, it is important to review the agenda to ensure that only appropriate topics are covered. Never engage in any discussion regarding pricing, tenders, boycotting of third parties, customer or territory allocation, or limiting production or sales volume.If someone begins to discuss these matters, take immediate action. End your involvement in the meeting and ask that your objections be recorded. Leave and make a loud, dramatic gesture as you depart, so others remember your departure from the prohibited discussion. | Meetings with CompetitorsWhen meeting with competitors, it is important to review the agenda to ensure that only appropriate topics are covered. Never engage in any discussion regarding pricing, tenders, boycotting of third parties, customer or territory allocation, or limiting production or sales volume.If someone begins to discuss these matters, take immediate action. End your involvement in the meeting and ask that your objections be recorded. Leave and make a loud, dramatic gesture as you depart, so others remember your departure from the prohibited discussion. |
| [Screen 10](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=19_C_11) [19\_C\_11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=19_C_11)  | Competitors and the Labor MarketUnder competition laws, competitors include not only the companies with whom Abbott competes to sell our products, but also companies with whom we compete to hire employees.For example, discussing employee compensation with another company or agreeing with another company not to hire each other’s employees (no poach agreements) can also be viewed as anti-competitive. | Competitors and the Labor MarketUnder competition laws, competitors include not only the companies with whom Abbott competes to sell our products, but also companies with whom we compete to hire employees.For example, discussing employee compensation with another company or agreeing with another company not to hire each other’s employees (no poach agreements) can also be viewed as anti-competitive. |
| [Screen 10](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=20_C_11) [20\_C\_11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=20_C_11)  | Reporting Suspected ViolationsWe are committed to reporting any suspected violation of Abbott’s policies related to unfair competition. We can do so through OEC, Legal, or Speak Up. | Reporting Suspected ViolationsWe are committed to reporting any suspected violation of Abbott’s policies related to unfair competition. We can do so through OEC, Legal, or Speak Up. |
| [Screen 11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=21_C_12) [21\_C\_12](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=21_C_12)  | Quick CheckTest your knowledge now! | Quick CheckTest your knowledge now! |
| [Screen 11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=22_C_12) [22\_C\_12](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=22_C_12)  | You are a Regional Sales Manager responsible for Abbott Vascular in Turkey. You are considering submitting a bid to supply product to a large public hospital in your area. You are aware that the contract is currently held by a local company. Before investing a lot of time into putting together the bid, you reach out to a counterpart at Medtronic to find out whether they are bidding. Is this okay? | You are a Regional Sales Manager responsible for Abbott Vascular in Turkey. You are considering submitting a bid to supply product to a large public hospital in your area. You are aware that the contract is currently held by a local company. Before investing a lot of time into putting together the bid, you reach out to a counterpart at Medtronic to find out whether they are bidding. Is this okay? |
| [Screen 11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=23_C_12) [23\_C\_12](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=23_C_12)  | Yes, as long as you do not discuss pricing, discounts, rebates or any other terms of the bid.Yes, since the objective of the call is simply to establish whether or not Medtronic would bid.No. Any discussion with competitors regarding pricing or bidding strategies is strictly prohibited.Submit | Yes, as long as you do not discuss pricing, discounts, rebates or any other terms of the bid.Yes, since the objective of the call is simply to establish whether or not Medtronic would bid.No. Any discussion with competitors regarding pricing or bidding strategies is strictly prohibited.Submit |
| [Screen 11](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=24_C_12) [24\_C\_12](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=24_C_12)  | That's correct!That's not correct!While there is no indication that the purpose of the call is to engage in rigging the bid, any discussion with a competitor about the terms of a bid or bidding strategies could be perceived as harmful to competition.For instance, if both Medtronic and Abbott refrain from submitting competitive bids, it could leave the door open for a single firm to bid on the contract. This could result in the hospital paying more than would be expected in a competitive situation. This could subsequently be viewed by the authorities as a type of bid suppression. | That's correct!That's not correct!While there is no indication that the purpose of the call is to engage in rigging the bid, any discussion with a competitor about the terms of a bid or bidding strategies could be perceived as harmful to competition.For instance, if both Medtronic and Abbott refrain from submitting competitive bids, it could leave the door open for a single firm to bid on the contract. This could result in the hospital paying more than would be expected in a competitive situation. This could subsequently be viewed by the authorities as a type of bid suppression. |
| [Screen 12](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=25_C_13) [25\_C\_13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=25_C_13)  |  |  |
| [Screen 12](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=26_C_13) [26\_C\_13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=26_C_13)  | You are an Area Sales Director for Abbott’s Rapid Diagnostics business in East Africa. At a meeting with a local distributor who distributes diagnostic testing equipment for you and a leading competitor, you are provided with a list of customers who the distributor says you should target for rapid diagnostic marketing. It is explained that a similar length list with different customers has been given to your competitor, so that the two companies’ marketing efforts don’t overlap. Since the distributor is responsible for the final sale of the companies’ products, you agree to limit your marketing efforts to the customers on the list. Is this okay? | You are an Area Sales Director for Abbott’s Rapid Diagnostics business in East Africa. At a meeting with a local distributor who distributes diagnostic testing equipment for you and a leading competitor, you are provided with a list of customers who the distributor says you should target for rapid diagnostic marketing. It is explained that a similar length list with different customers has been given to your competitor, so that the two companies’ marketing efforts don’t overlap. Since the distributor is responsible for the final sale of the companies’ products, you agree to limit your marketing efforts to the customers on the list. Is this okay? |
| [Screen 12](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=27_C_13) [27\_C\_13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=27_C_13)  | YesNoSubmit | YesNoSubmit |
| [Screen 12](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=28_C_13) [28\_C\_13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=28_C_13)  | That's correct!That's not correct!Market or customer allocation is almost always illegal. The fact that the arrangement is being organized by a third party, in this case a local distributor, does not change the fact that dividing customers or geographic areas to avoid competition may result in customers paying more for their diagnostic equipment.When communicating with third party suppliers and distributors, it is important for you to be alert to any arrangements that might be construed as limiting competition. | That's correct!That's not correct!Market or customer allocation is almost always illegal. The fact that the arrangement is being organized by a third party, in this case a local distributor, does not change the fact that dividing customers or geographic areas to avoid competition may result in customers paying more for their diagnostic equipment.When communicating with third party suppliers and distributors, it is important for you to be alert to any arrangements that might be construed as limiting competition. |
| [Screen 13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=29_C_14) [29\_C\_14](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=29_C_14)  | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. |
| [Screen 13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=30_C_14) [30\_C\_14](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=30_C_14)  | Competition LawsMost countries in which we do business have laws that prohibit unfair competition. | Competition LawsMost countries in which we do business have laws that prohibit unfair competition. |
| [Screen 13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=31_C_14) [31\_C\_14](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=31_C_14)  | Fair, Merit-Based Tender ProcessesAbbott is committed to competing fairly in all tenders, requests for proposals, and bids. Collusion with competitors, bid rigging, and similar actions which might inappropriately impact the outcome of selection processes are strictly prohibited. | Fair, Merit-Based Tender ProcessesAbbott is committed to competing fairly in all tenders, requests for proposals, and bids. Collusion with competitors, bid rigging, and similar actions which might inappropriately impact the outcome of selection processes are strictly prohibited. |
| [Screen 13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=32_C_14) [32\_C\_14](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=32_C_14)  | Meetings with CompetitorsNever engage in any discussion regarding pricing, tenders, boycotting of third parties, customer or territory allocation, or limiting production or sales volume. | Meetings with CompetitorsNever engage in any discussion regarding pricing, tenders, boycotting of third parties, customer or territory allocation, or limiting production or sales volume. |
| [Screen 13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=33_C_14) [33\_C\_14](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=33_C_14)  | Responding to Anti-competitive DiscussionsIf someone begins to discuss sensitive business topics, take immediate action. End your involvement in the meeting and ask that your objections be recorded. Leave and make a loud, dramatic gesture as you depart, so others remember your departure from the prohibited discussion. | Responding to Anti-competitive DiscussionsIf someone begins to discuss sensitive business topics, take immediate action. End your involvement in the meeting and ask that your objections be recorded. Leave and make a loud, dramatic gesture as you depart, so others remember your departure from the prohibited discussion. |
| [Screen 13](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=34_C_14) [34\_C\_14](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=34_C_14)  | Reporting Suspected ViolationsWe are committed to reporting any suspected violation of Abbott’s policies related to unfair competition. We can do so through OEC, Legal, or Speak Up. | Reporting Suspected ViolationsWe are committed to reporting any suspected violation of Abbott’s policies related to unfair competition. We can do so through OEC, Legal, or Speak Up. |
| [Screen 15](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=36_C_16) [36\_C\_16](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=36_C_16)  | Abbott’s global standards on fair competition are consistent with our commitment to conduct business with honesty, fairness, and integrity.They outline at a high-level Abbott’s commitment to comply with the competition laws in every country in which we do business. | Abbott’s global standards on fair competition are consistent with our commitment to conduct business with honesty, fairness, and integrity.They outline at a high-level Abbott’s commitment to comply with the competition laws in every country in which we do business. |
| [Screen 16](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=37_C_17) [37\_C\_17](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=37_C_17)  | Governments around the world have pursued actions against competitors who have colluded to limit competition.The penalties for anti-competitive behavior have increased significantly over recent years. | Governments around the world have pursued actions against competitors who have colluded to limit competition.The penalties for anti-competitive behavior have increased significantly over recent years. |
| [Screen 17](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=38_C_18) [38\_C\_18](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=38_C_18)  | Besides civil and criminal penalties, there are other consequences.Since anti-competitive conduct usually results in higher prices or reduced selection for consumers, a company that commits such crimes risks seriously damaging its reputation in the eyes of its customers. | Besides civil and criminal penalties, there are other consequences.Since anti-competitive conduct usually results in higher prices or reduced selection for consumers, a company that commits such crimes risks seriously damaging its reputation in the eyes of its customers. |
| [Screen 18](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=39_C_19) [39\_C\_19](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=39_C_19)  | As an Abbott employee, it is important for you to know and follow the laws and regulations that govern competition in the countries and regions in which you operate.An employee who commits anti-competitive acts is violating company policies and can face disciplinary action, including termination. | As an Abbott employee, it is important for you to know and follow the laws and regulations that govern competition in the countries and regions in which you operate.An employee who commits anti-competitive acts is violating company policies and can face disciplinary action, including termination. |
| [Screen 19](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=40_C_20) [40\_C\_20](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=40_C_20)  | When facing a difficult decision, always take time to think things through.* Think about what laws, policies, and procedures might be compromised.
* Think about the risks to you and the company.
* Think about what effect your decision will have on others.
* But, most of all, think about your options. Because you always have options.
 | When facing a difficult decision, always take time to think things through.* Think about what laws, policies, and procedures might be compromised.
* Think about the risks to you and the company.
* Think about what effect your decision will have on others.

But, most of all, think about your options. Because you always have options. |
| [Screen 20](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=41_C_21) [41\_C\_21](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=41_C_21)  | Remember, no matter what happens, if you make the right choice, Abbott will be there to support you. | Remember, no matter what happens, if you make the right choice, Abbott will be there to support you. |
| [Screen 21](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=42_C_22) [42\_C\_22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=42_C_22)  | Remember, any conversation between competitors regarding pricing, markets, customers, suppliers, distributors, etc. could potentially be viewed as an illegal collaboration and should be avoided. | Remember, any conversation between competitors regarding pricing, markets, customers, suppliers, distributors, etc. could potentially be viewed as an illegal collaboration and should be avoided. |
| [Screen 21](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=43_C_22) [43\_C\_22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=43_C_22)  | Discussions around PricingAny conversation between competitors regarding pricing, such as price differentials, list prices, or free services, could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. | Discussions around PricingAny conversation between competitors regarding pricing, such as price differentials, list prices, or free services, could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. |
| [Screen 21](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=44_C_22) [44\_C\_22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=44_C_22)  | Discussions around Public TendersAny discussion between competitors regarding public tenders, bids, and Requests for Proposals (RFPs) could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. | Discussions around Public TendersAny discussion between competitors regarding public tenders, bids, and Requests for Proposals (RFPs) could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. |
| [Screen 21](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=45_C_22) [45\_C\_22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=45_C_22)  | Discussions around Market or Customer AllocationAny discussion between competitors around market or customer allocation could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. | Discussions around Market or Customer AllocationAny discussion between competitors around market or customer allocation could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. |
| [Screen 21](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=46_C_22) [46\_C\_22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=46_C_22)  | Discussions around Group BoycottsAny discussion that takes place between competitors with respect to boycotting third parties such as suppliers, distributors or retailers could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. | Discussions around Group BoycottsAny discussion that takes place between competitors with respect to boycotting third parties such as suppliers, distributors or retailers could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. |
| [Screen 21](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=47_C_22) [47\_C\_22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=47_C_22)  | Discussions around Limiting or Controlling Production or Sales VolumeAny discussion with competitors around limiting or controlling production or sales volumes could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. | Discussions around Limiting or Controlling Production or Sales VolumeAny discussion with competitors around limiting or controlling production or sales volumes could be viewed as illegal collaboration and should be avoided. These conversations do not have to result in a formal agreement with a competitor to be considered anti-competitive. |
| [Screen 21](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=48_C_22) [48\_C\_22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=48_C_22)  | Third Parties and IntermediariesWhen communicating with third party suppliers and distributors, it is important for you to be alert to any arrangements that might be construed as limiting competition. | Third Parties and IntermediariesWhen communicating with third party suppliers and distributors, it is important for you to be alert to any arrangements that might be construed as limiting competition. |
| [Screen 22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=49_C_23) [49\_C\_23](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=49_C_23)  | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. | Click the arrow to begin your review.ReviewTake a moment to review some of the key concepts in this section. |
| [Screen 22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=50_C_23) [50\_C\_23](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=50_C_23)  | Your ResponsibilitiesAs an Abbott employee it is important for you to know and follow the laws and regulations that govern competition in the countries and regions in which you operate. | Your ResponsibilitiesAs an Abbott employee it is important for you to know and follow the laws and regulations that govern competition in the countries and regions in which you operate. |
| [Screen 22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=51_C_23) [51\_C\_23](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=51_C_23)  | Knowing What Constitutes Anti-competitive BehaviorAny conversation between competitors regarding pricing, markets, customers, suppliers, distributors, etc. could potentially be viewed as an illegal collaboration and should be avoided. | Knowing What Constitutes Anti-competitive BehaviorAny conversation between competitors regarding pricing, markets, customers, suppliers, distributors, etc. could potentially be viewed as an illegal collaboration and should be avoided. |
| [Screen 22](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=52_C_23) [52\_C\_23](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=52_C_23)  | Thinking Things ThroughWhen facing a difficult decision, always take time to think about:* What laws, policies, and procedures might be compromised.
* The risks to you and the company.
* The effect your decision will have on others.
* Your options.
 | Thinking Things ThroughWhen facing a difficult decision, always take time to think about:* What laws, policies, and procedures might be compromised.
* The risks to you and the company.
* The effect your decision will have on others.

Your options. |
| [Screen 24](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=54_C_25) [54\_C\_25](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=54_C_25)  | Take a moment to confirm your agreement with both statements.I know and understand Abbott’s standards on Interactions with Competitors and how they relate to the environment in which Abbott operates.I understand that I must comply with Abbott’s standards on Interactions with Competitors, which can be found in Abbott’s Code of Business Conduct and Ethics and Compliance Global Policy on Business Standards.Confirm | Take a moment to confirm your agreement with both statements.I know and understand Abbott’s standards on Interactions with Competitors and how they relate to the environment in which Abbott operates.I understand that I must comply with Abbott’s standards on Interactions with Competitors, which can be found in Abbott’s Code of Business Conduct and Ethics and Compliance Global Policy on Business Standards.Confirm |
| [Screen 25](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=55_C_26) [55\_C\_26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=55_C_26)  | The Knowledge Check that follows consists of 5 questions. You must score 80% or higher to successfully complete this course.WHEN YOU ARE READY, CLICK THE KNOWLEDGE CHECK BUTTON. | The Knowledge Check that follows consists of 5 questions. You must score 80% or higher to successfully complete this course.WHEN YOU ARE READY, CLICK THE KNOWLEDGE CHECK BUTTON. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=56_C_27) [56\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=56_C_27)  |  |  |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=57_C_27) [57\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=57_C_27)  | [1] You are responsible for the manufacturing of reagents in the United States. During a conference, you and a few of your counterparts at competitors have an “off-the-record” discussion about one of your suppliers. Although no formal agreement is reached, a number of these counterparts indicate they will no longer be using a particular supplier because this supplier has near monopoly power and is using its dominant position to raise prices. Could your participation in the discussion be considered anti-competitive? | [1] You are responsible for the manufacturing of reagents in the United States. During a conference, you and a few of your counterparts at competitors have an “off-the-record” discussion about one of your suppliers. Although no formal agreement is reached, a number of these counterparts indicate they will no longer be using a particular supplier because this supplier has near monopoly power and is using its dominant position to raise prices. Could your participation in the discussion be considered anti-competitive? |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=58_C_27) [58\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=58_C_27)  | [1] No, the concerns raised are valid. In fact, the supplier’s dominant position in the marketplace is anti-competitive. | [1] No, the concerns raised are valid. In fact, the supplier’s dominant position in the marketplace is anti-competitive. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=59_C_27) [59\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=59_C_27)  | [2] No, as long as there is no written agreement among the parties. | [2] No, as long as there is no written agreement among the parties. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=60_C_27) [60\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=60_C_27)  | [3] Yes. Any discussion with respect to boycotting third parties could be viewed as anti-competitive. | [3] Yes. Any discussion with respect to boycotting third parties could be viewed as anti-competitive. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=61_C_27) [61\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=61_C_27)  | [4] Yes, but only if you sign an agreement to boycott the supplier with the other parties.Next | [4] Yes, but only if you sign an agreement to boycott the supplier with the other parties.Next |
| Screen 26Question 1: Feedback62\_C\_27 | Any discussion that takes place between competitors with respect to boycotting third parties such as suppliers, distributors, or retailers could be viewed as anti-competitive by government authorities. | Any discussion that takes place between competitors with respect to boycotting third parties such as suppliers, distributors, or retailers could be viewed as anti-competitive by government authorities. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=63_C_27) [63\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=63_C_27)  | [2] How should you end your participation in a meeting or conversation with a competitor that begins to veer towards a pricing discussion or some other prohibited topic? | [2] How should you end your participation in a meeting or conversation with a competitor that begins to veer towards a pricing discussion or some other prohibited topic? |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=64_C_27) [64\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=64_C_27)  | [1] Calmly and respectfully. | [1] Calmly and respectfully. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=65_C_27) [65\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=65_C_27)  | [2] Loudly and dramatically and request that your objection be documented in meeting minutes, if applicable. | [2] Loudly and dramatically and request that your objection be documented in meeting minutes, if applicable. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=66_C_27) [66\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=66_C_27)  | [3] Quickly and quietly.Next | [3] Quickly and quietly.Next |
| Screen 26Question 2: Feedback67\_C\_27 | You should always end your participation in a meeting or conversation that begins to veer towards a pricing discussion or some other prohibited topic loudly and dramatically, so others remember your departure from the prohibited discussion. | You should always end your participation in a meeting or conversation that begins to veer towards a pricing discussion or some other prohibited topic loudly and dramatically, so others remember your departure from the prohibited discussion. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=68_C_27) [68\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=68_C_27)  | [3] You recently attended a dinner with competitors at which product pricing and upcoming bids were discussed. In this case what should you do? Check the correct answer. | [3] You recently attended a dinner with competitors at which product pricing and upcoming bids were discussed. In this case what should you do? Check the correct answer. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=69_C_27) [69\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=69_C_27)  | [1] Call the competitors that were present at the dinner to discuss your understanding of the event. | [1] Call the competitors that were present at the dinner to discuss your understanding of the event. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=70_C_27) [70\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=70_C_27)  | [2] Do nothing, since you didn’t sign any document that could be interpreted as anti-competitive. | [2] Do nothing, since you didn’t sign any document that could be interpreted as anti-competitive. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=71_C_27) [71\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=71_C_27)  | [3] Write an email to all the dinner participants detailing the discussions that occurred at dinner and stating that you did not agree with any anti-competitive practices, so your position is documented. | [3] Write an email to all the dinner participants detailing the discussions that occurred at dinner and stating that you did not agree with any anti-competitive practices, so your position is documented. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=72_C_27) [72\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=72_C_27)  | [4] Contact your manager and OEC as soon as possible.Next | [4] Contact your manager and OEC as soon as possible.Next |
| Screen 26Question 3: Feedback73\_C\_27 | You should always end your participation in a meeting or conversation that begins to veer towards a pricing discussion or some other prohibited topic loudly and dramatically, so others remember your departure from the prohibited discussion. Report the issue to your manager, OEC, Legal, or call SpeakUp. | You should always end your participation in a meeting or conversation that begins to veer towards a pricing discussion or some other prohibited topic loudly and dramatically, so others remember your departure from the prohibited discussion. Report the issue to your manager, OEC, Legal, or call SpeakUp. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=74_C_27) [74\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=74_C_27)  | [4] Three distributors meet to discuss an upcoming series of tenders at a local public hospital and agree to take turns winning the contracts by intentionally manipulating the prices presented at each bid. | [4] Three distributors meet to discuss an upcoming series of tenders at a local public hospital and agree to take turns winning the contracts by intentionally manipulating the prices presented at each bid. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=75_C_27) [75\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=75_C_27)  | [1] The scenario describes an unfair and illegal competition practice known as “bid-rigging.” | [1] The scenario describes an unfair and illegal competition practice known as “bid-rigging.” |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=76_C_27) [76\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=76_C_27)  | [2] There are no issues with the presented scenario. Because each company won a contract, no harm was done. | [2] There are no issues with the presented scenario. Because each company won a contract, no harm was done. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=77_C_27) [77\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=77_C_27)  | [3] The described issue is a legitimate agreement and is not illegal.Next | [3] The described issue is a legitimate agreement and is not illegal.Next |
| Screen 26Question 4: Feedback78\_C\_27 | Bid rigging is a serious offense with real-world consequences. Agreements on price or tenders are strictly prohibited. | Bid rigging is a serious offense with real-world consequences. Agreements on price or tenders are strictly prohibited. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=79_C_27) [79\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=79_C_27)  | [5] You are responsible for overseeing the sales and marketing team for Abbott Nutrition in the US. A competitor hires your top performing sales representative. You call your counterpart at the competitor and suggest that the two companies agree to stop poaching each other’s employees. Could this discussion be considered anti-competitive? | [5] You are responsible for overseeing the sales and marketing team for Abbott Nutrition in the US. A competitor hires your top performing sales representative. You call your counterpart at the competitor and suggest that the two companies agree to stop poaching each other’s employees. Could this discussion be considered anti-competitive? |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=80_C_27) [80\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=80_C_27)  | [1] Yes, the two companies compete to hire employees and an agreement between two employers to limit this competition may be viewed as anti-competitive. | [1] Yes, the two companies compete to hire employees and an agreement between two employers to limit this competition may be viewed as anti-competitive. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=81_C_27) [81\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=81_C_27)  | [2] No, because employees of the two companies are subject to non-compete provisions in their respective employee agreements. | [2] No, because employees of the two companies are subject to non-compete provisions in their respective employee agreements. |
| [Screen 26](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=82_C_27) [82\_C\_27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=82_C_27)  | [3] No, because the arrangement has no effect on the price paid by consumers.Next | [3] No, because the arrangement has no effect on the price paid by consumers.Next |
| Screen 26Question 5: Feedback83\_C\_27 | Agreeing with another company to restrict competition in the labor market is considered in many jurisdictions unlawful, just like price fixing or similar agreements impacting the products we sell. | Agreeing with another company to restrict competition in the labor market is considered in many jurisdictions unlawful, just like price fixing or similar agreements impacting the products we sell. |
| [Screen 27](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=84_C_28) [84\_C\_28](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=84_C_28)  | No results are available, as you have not completed the Knowledge Check.Congratulations! You have successfully passed the Knowledge Check.Please review your results below by clicking on each question.Once you’re done, click the forward arrow to take a short survey.Sorry, you did not pass the Knowledge Check. Take a few minutes to review your results below by clicking on each question.When you are done, click the Retake button. | No results are available, as you have not completed the Knowledge Check.Congratulations! You have successfully passed the Knowledge Check.Please review your results below by clicking on each question.Once you’re done, click the forward arrow to take a short survey.Sorry, you did not pass the Knowledge Check. Take a few minutes to review your results below by clicking on each question.When you are done, click the Retake button. |
| [Screen 28](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=88_C_199) [88\_C\_199](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=88_C_199)  | [3] As a result of this session, I have a better understanding of how to interact with competitors.Strongly DisagreeDisagreeNeutralAgreeStrongly Agree | [3] As a result of this session, I have a better understanding of how to interact with competitors.Strongly DisagreeDisagreeNeutralAgreeStrongly Agree |
| [Screen 29](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=91_C_200) [91\_C\_200](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=91_C_200)  | Where to Get Help | Where to Get Help |
| [Screen 29](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=92_C_200) [92\_C\_200](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=92_C_200)  | ManagerIf you have questions about your interactions with those outside of Abbott, the best place to start is with your manager. | ManagerIf you have questions about your interactions with those outside of Abbott, the best place to start is with your manager. |
| [Screen 29](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=93_C_200) [93\_C\_200](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=93_C_200)  | Written Standards* For our company’s fundamental set of expectations about interactions with others, consult our [Code of Business Conduct](http://www.abbott.com/investors/governance/code-of-business-conduct.html).
* Consult Abbott’s Ethics and Compliance Global Policy on Business Standards for further guidance on Abbott’s requirements.
* Click [here](https://abbott.sharepoint.com/sites/AW-Ethics_Compliance/SitePages/anti-corruption-policy.aspx) to access the Standards on the OEC website on Abbott World.
 | Written Standards* For our company’s fundamental set of expectations about interactions with others, consult our [Code of Business Conduct](http://www.abbott.com/investors/governance/code-of-business-conduct.html).
* Consult Abbott’s Ethics and Compliance Global Policy on Business Standards for further guidance on Abbott’s requirements.

Click [here](https://abbott.sharepoint.com/sites/AW-Ethics_Compliance/SitePages/anti-corruption-policy.aspx) to access the Standards on the OEC website on Abbott World. |
| [Screen 29](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=94_C_200) [94\_C\_200](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=94_C_200)  | OFFICE OF ETHICS AND COMPLIANCE (OEC)* The OEC is a global resource available to address your questions or concerns about interactions with competitors.
* Visit the [Contact OEC](https://icomply.abbott.com/Apps/ComplianceContacts/) page on the [OEC website](https://abbott.sharepoint.com/sites/AW-Ethics_Compliance) on Abbott World.
* If you have any concerns about anti-competitive business activities, either within the company or in your dealings with competitors or other third parties, you can report your concerns to the OEC (investigations@abbott.com),Legal, or by visiting [Speak Up](http://speakup.abbott.com/), which is available globally, 24/7 in multiple languages.
 | OFFICE OF ETHICS AND COMPLIANCE (OEC)* The OEC is a global resource available to address your questions or concerns about interactions with competitors.
* Visit the [Contact OEC](https://icomply.abbott.com/Apps/ComplianceContacts/) page on the [OEC website](https://abbott.sharepoint.com/sites/AW-Ethics_Compliance) on Abbott World.

If you have any concerns about anti-competitive business activities, either within the company or in your dealings with competitors or other third parties, you can report your concerns to the OEC (investigations@abbott.com),Legal, or by visiting [Speak Up](http://speakup.abbott.com/), which is available globally, 24/7 in multiple languages. |
| [Screen 29](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=95_C_200) [95\_C\_200](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=95_C_200)  | Legal DivisionContact the Legal Division with questions or concerns about competition law issues.* Click [here](https://abbott.sharepoint.com/sites/AW-Abbott-Legal) to access the Legal home page on Abbott World.
 | Legal DivisionContact the Legal Division with questions or concerns about competition law issues.Click [here](https://abbott.sharepoint.com/sites/AW-Abbott-Legal) to access the Legal home page on Abbott World. |
| [Screen 29](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=96_C_200) [96\_C\_200](http://www.learnex.co.uk/test/AbbottCompete/courses/EN-US/course/index.html?showScreen=96_C_200)  | Course ResourcesTranscriptClick [here](file:///C%3A%5Cdev%5CAbbottCompete%5Ccourses%5CEN-US%5Ctranslation%5Creference%5CTranscript.pdf) for a full transcript of the course | Course ResourcesTranscriptClick [here](file:///C%3A%5Cdev%5CAbbottCompete%5Ccourses%5CEN-US%5Ctranslation%5Creference%5CTranscript.pdf) for a full transcript of the course |
| 97\_toc\_1 | Welcome | Welcome |
| 98\_toc\_2 | Interactions with Competitors | Interactions with Competitors |
| 99\_toc\_3 | Our Philosophy | Our Philosophy |
| 100\_toc\_4 | Objectives | Objectives |
| 101\_toc\_5 | Table of Contents | Table of Contents |
| 102\_toc\_6 | Introduction to Antitrust | Introduction to Antitrust |
| 103\_toc\_7 | Our Business Interactions | Our Business Interactions |
| 104\_toc\_8 | The Importance of Antitrust | The Importance of Antitrust |
| 105\_toc\_9 | Quick Check | Quick Check |
| 106\_toc\_10 | Table of Contents | Table of Contents |
| 107\_toc\_11 | Laws and Regulations  | Laws and Regulations  |
| 108\_toc\_12 | The Laws and Abbott’s Standards | The Laws and Abbott’s Standards |
| 109\_toc\_13 | Quick Check | Quick Check |
| 110\_toc\_14 | Review | Review |
| 111\_toc\_15 | Table of Contents | Table of Contents |
| 112\_toc\_16 | The Impact on Our Business and Our Responsibilities  | The Impact on Our Business and Our Responsibilities  |
| 113\_toc\_17 | Abbott’s Expectations | Abbott’s Expectations |
| 114\_toc\_18 | You Always Have Options | You Always Have Options |
| 115\_toc\_19 | Knowing What To Do | Knowing What To Do |
| 116\_toc\_20 | Review | Review |
| 117\_toc\_21 | Table of Contents | Table of Contents |
| 118\_toc\_22 | Your Commitment | Your Commitment |
| 119\_toc\_23 | Your Commitment | Your Commitment |
| 120\_toc\_24 | Knowledge Check | Knowledge Check |
| 121\_toc\_25 | Introduction | Introduction |
| 122\_toc\_26 | Assessment | Assessment |
| 123\_toc\_27 | Feedback | Feedback |
| 124\_toc\_28 | Survey | Survey |
| 125\_string\_1 | The Course cannot contact the LMS. Click 'OK' to continue and review the course. Note, Course Certification may not be available. Click 'Cancel' to exit  | The Course cannot contact the LMS. Click 'OK' to continue and review the course. Note, Course Certification may not be available. Click 'Cancel' to exit  |
| 126\_string\_2 | All questions remain unanswered | All questions remain unanswered |
| 127\_string\_3 | Questions | Questions |
| 128\_string\_4 | Question | Question |
| 129\_string\_5 | not answered | not answered |
| 130\_string\_6 | That's correct! | That's correct! |
| 131\_string\_7 | That's not correct! | That's not correct! |
| 132\_string\_8 | Feedback:  | Feedback:  |
| 133\_string\_9 | Interactions with Competitors  | Interactions with Competitors  |
| 134\_string\_10 | Knowledge Check | Knowledge Check |
| 135\_string\_11 | Submit | Submit |
| 136\_string\_12 | Retake | Retake |
| 137\_string\_13 | Course Description: At Abbott we are committed to fair dealing and complying with competition laws. In this course, we will help you understand and recognize anti-competitive behavior and how to promote fair competition and avoid anti-competitive practices. This course will take approximately 15 minutes to complete.  | Course Description: At Abbott we are committed to fair dealing and complying with competition laws. In this course, we will help you understand and recognize anti-competitive behavior and how to promote fair competition and avoid anti-competitive practices. This course will take approximately 15 minutes to complete.  |
| 138\_string\_14 | Menu | Menu |
| 139\_string\_15 | Resources | Resources |
| 140\_string\_16 | Reference Material | Reference Material |
| 141\_string\_17 | Audio | Audio |
| 142\_string\_18 | Exit | Exit |
| 143\_string\_19 | Close | Close |
| 144\_string\_20 | Comment... | Comment... |